#### **EXHIBIT 5**

Additions are <u>underlined</u>; deleted text is [in brackets]

### RULES OF THE CHICAGO STOCK EXCHANGE, INC.

#### **ARTICLE 1. Definitions and General Information**

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### Rule 2. Order Types, Modifiers, and Related Terms

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- (b) Order Execution Modifiers. One or more order execution modifiers may be applied to a general order type, subject to the requirements of Article 20, Rule 4, so long as the modifier is compatible with the general order type and other applicable order modifiers/terms.
  - (1) Limit Orders Only. The following order execution modifiers may be attributed to limit orders only.

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(B) "Cancel On Halt": a limit order modifier that requires an order to be automatically cancelled by the Matching System if a trading halt, pause or suspension is declared in that security by the Exchange.

All limit orders, except for SNAP AOOs, as defined under paragraph (h)(3), shall be deemed to have been received Cancel On Halt, which cannot be overridden by an order sender.

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### **ARTICLE 18. Auctions**

# Rule 1. Sub-second Non-displayed Auction Process ("SNAP")

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(c) Halt, [or] pause or suspension during the SNAP Cycle. If a trading halt, [or] pause or suspension is in effect for a subject security that requires the Exchange to suspend trading in that security ("material halt, [or] pause or suspension") at the time a limit order marked Start SNAP is received, a SNAP Cycle shall not be initiated. In the event a material halt, [or] pause or suspension has been declared for the subject security during a SNAP Cycle, the Exchange shall take the following actions, as applicable:

- (1) SNAP CHX book[. During either -1- a Limit Up-Limit Down ("LULD") Trading Pause, as described under Article 20, Rule 2A(c), or -2- a halt or pause other than a LULD Trading Pause, as described under paragraph .02 of Article 20, Rule 1, the Exchange shall take the following steps, as applicable:]
  - (A) During stages one or two. If the market snapshot taken pursuant to paragraph (b)(2)(E) or (F) indicates that a halt, [or ]pause or suspension is in effect, the SNAP Cycle shall be aborted and not proceed to stage three or stage five, as applicable. The Exchange shall then [either:
    - (i) ]cancel all orders resting on the SNAP CHX book, subject to paragraph (c)(2) below.[, for a LULD Trading Pause; or
    - (ii) cancel all resting orders received during the SNAP Order Acceptance Period that have been ranked on the SNAP CHX book, but otherwise maintain all other resting orders not marked Cancel On Halt, as defined under Article 1, Rule 2(b)(1)(B), subject to paragraph (c)(2) below and Article 20, Rule 12(a), for a material halt or pause other than an LULD Trading Pause.]
  - (B) During stages three or four. If the market snapshot taken pursuant to paragraph (b)(3)(B) or (b)(4)(B) indicates that a halt, [or ]pause or suspension is in effect for the subject security, the SNAP Cycle shall be aborted and not proceed to stage five. The Exchange shall then [either:
    - (i) ]cancel the unexecuted remainders of all orders resting on the SNAP CHX book, subject to paragraph (c)(2) below.[, for a LULD Trading Pause; or
    - (ii) maintain all unexecuted resting orders not marked Cancel On Halt, subject to paragraph (c)(2) below and Article 20, Rule 12(a), for a material halt or pause other than an LULD Trading Pause; provided, however, that if the SNAP Price could not be determined, pursuant to paragraph (b)(3)(B) above, resting orders will be handled pursuant to subparagraph (A)(ii) above.]

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## ARTICLE 20. Operation of the CHX Matching System

## Rule 1. Trading Sessions

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(b) *Trading sessions*. The Exchange shall have four trading sessions during each day. The first trading session – the early session – shall begin at 6:00 a.m. and shall end at 8:30 a.m. The second session – the regular trading session - shall begin at 8:30 a.m. and shall end at 3:00 p.m. each day for all securities. The third trading session - the late trading

session - shall begin immediately after the close of the second session and shall end at 3:15 p.m. The fourth trading session - the late crossing session - shall begin immediately after the close of the third session and end at 4:00 p.m. Trading during these sessions may be halted, paused or suspended, pursuant to relevant CHX Rules[as provided in Rules 1(d) and 2 below].

\* \* \*

(d) *Trading suspensions*, <u>pauses</u> or halts. Two officers of the Exchange appointed by the Exchange's Chief Executive Officer may suspend and restart trading, in one or more securities, at any time during a trading session or may halt trading, in one or more securities, for the remainder of the trading day, whenever they believe it is in the public interest. Trading halts or suspensions for longer periods of time must be approved by the Exchange's Board of Directors. <u>Trading may also be halted, paused or suspended on the Exchange</u>, and resumed thereafter, pursuant to other CHX Rules.

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• • • *Interpretations and Policies:* 

\* \* \*

the Exchange [, which requires the Exchange to suspend trading in the issue, other than a LULD Trading Pause], all resting orders in those issues shall be cancelled from the [remain in the] Matching System, subject to Article 18, Rule 1(c)[ unless they are cancelled by the Participant that submitted the order]. The Matching System shall not accept any orders[, or any changes to orders (other than cancellations),] in those issues during a trading [suspension or ]halt, pause or suspension, subject to Article 18, Rule 1(c). Immediately after the trading halt, pause or suspension has ended, the Matching System shall begin accepting orders and shall match them as provided in Rule 8(d), below.

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