Additions are <u>underlined</u>; deleted text is [in brackets]

RULES OF CHICAGO STOCK EXCHANGE, INC.

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ARTICLE 1.

Definitions and General Information

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Rule 1. Definitions

Whenever and wherever used in these Rules, unless the context requires otherwise, the following terms shall have the respective meanings ascribed to them below:

a. – kk. Unchanged

II. "Trading Account": means an account under a Trading Permit, identified by a unique CHX account symbol, from which orders are sent to the Exchange's Trading Facilities. A Participant Trading Permit holder may establish more than one Trading Account per Trading Permit.

mm. "MTP Trading Group": means a group of one or more Trading Accounts that have been aggregated at the request of all Participant Trading Permit holders that control all Trading Accounts within the proposed group for the purpose of enabling Match Trade Prevention ("MTP") functionality, pursuant to Article 1, Rule 2(b)(3)(F)(i). A Trading Account may not be assigned to more than one MTP Trading Group. Any Exchange-approved changes to the composition of an MTP Trading Group shall be effective no earlier than the trading day following the request.

Rule 2. Order Types, Modifiers, and Related Terms

Unless otherwise specifically defined elsewhere in the CHX Rules, the following terms shall have the respective meanings ascribed to them, for purposes of all CHX Rules.

- (a) Unchanged
- (b) Order Execution Modifiers. One or more order execution modifiers may be applied to a general order type, subject to the requirements of Article 20, Rule 4, so long as the modifier is compatible with the general order type and other applicable order modifiers/terms.

- (1) (2) Unchanged
- (3) Applicable to Multiple Order Types
 - (A) (E) Unchanged
 - (F) Match Trade Prevention ("MTP") Modifier
 - (i) Enabling/Disabling MTP. The MTP modifier shall only be available for an order that originated from a Trading Account, as defined under Article 1, Rule 1(ll), that has been assigned to an MTP Trading Group, as defined under Article 1, Rule 1(mm). An order that originated from a Trading Account that is not part of an MTP Trading Group shall not be subject to MTP and any attached MTP modifiers shall be ignored. Any Exchange-approved changes to the applicability of MTP to a Trading Account shall be effective no earlier than the trading day following the request.
 - (ii) Triggering MTP. An MTP modifier is comprised of a compulsory MTP Action, listed under subparagraph (iii), and an optional MTP sublevel designation. The MTP modifier on the incoming order shall control the interaction between the contra-side orders.

An incoming limit or market order marked by an MTP modifier without an MTP sublevel designation will be prevented from executing against a resting opposite side order from the same MTP Trading Group. If the incoming order is marked by an MTP modifier with an MTP sublevel designation, the order will only be prevented from executing against a resting opposite side order from the same MTP Trading Group if the resting order is marked by the same MTP sublevel designation. MTP shall only be applicable to marketable contra-side orders that are both principal orders or are both agency orders.

- (iii) MTP Actions. An MTP Trading Group must be assigned a default MTP Action. The following MTP Actions may be applied to any incoming limit or market orders at the MTP Trading Group level as a default or at the individual order level ad hoc:
 - (a) MTP Cancel Incoming ("N"): An incoming limit or market order marked "N" will not execute against opposite side resting interest originating from the same MTP Trading Group or MTP sublevel, if applicable. Only the incoming order will be cancelled pursuant to MTP.
 - (b) MTP Cancel Resting ("O"): An incoming limit or market order marked "O" will not execute against opposite side resting interest originating from the same MTP Trading Group or MTP sublevel, if applicable. Only the resting order will be cancelled pursuant to MTP.

- (c) MTP Cancel Both ("B"): An incoming limit or market order marked "B" will not execute against opposite side resting interest originating from the same MTP Trading Group or MTP sublevel, if applicable. The entire size of both orders will be cancelled pursuant to MTP.
- (iv) MTP Inactivate ("I"): An incoming limit or market order marked "I" will inactivate the default MTP Action for the incoming order. "I" may only be applied at the order level ad hoc. An incoming order marked "I" may be marked by an optional MTP sublevel designation.

(c) - (g) Unchanged

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