SR-Phlx-2013-10 Page 24 of 25

### **EXHIBIT 5**

New text is underlined; deleted text is in brackets.

# NASDAQ OMX PHLX LLC<sup>1</sup> PRICING SCHEDULE

\* \* \* \* \*

**Specialist and** 

# II. Multiply Listed Options Fees (Includes options overlying equities, ETFs, ETNs and indexes which are Multiply Listed $^9$ )

<b>Customer Professional</b>		Specialist and Market Maker		Broker-Dealer		Firm		
	Electronic	Floor	Electronic	Floor	Electronic	Floor	Electronic	Floor
\$0.00	\$0.30	\$0.25	\$0.22	\$0.25	\$0.45	\$0.25	\$0.4[0] <u>4</u>	\$0.25
\$0.00	\$0.30	\$0.25	\$0.23	\$0.25	\$0.60	\$0.25	\$0.45	\$0.25
N/A	\$0.15	\$0.15	\$0.15	\$0.15	\$0.15	\$0.15	\$0.15	\$0.15
N/A	\$0.10	\$0.10	\$0.10	\$0.10	\$0.10	\$0.10	\$0.10	\$0.10
\$0.00	N/A	\$0.10	N/A	\$0.10	N/A	\$0.10	N/A	\$0.10
\$0.00	N/A	\$0.10	N/A	\$0.10	N/A	\$0.10	N/A	\$0.10
	\$0.00 \$0.00 N/A \$0.00	\$0.00 \$0.30 \$0.30 \$0.00 \$0.15 \$0.00 \$0.10	\$0.00 \$0.30 \$0.25 \$0.00 \$0.30 \$0.25 N/A \$0.15 \$0.15 N/A \$0.10 \$0.10	So.00   So.30   So.25   So.22	Solution   From the color   Solution   Sol	Solution   From Electronic   Floor Electronic	Electronic Floor Electronic Floor Electronic Floor   \$0.00	Solution   Floor Electronic Floor Electronic Floor Electronic   Solution   Solution

SR-Phlx-2013-10 Page 25 of 25

• These fees are per contract.

<sup>9</sup>The following symbols will be assessed the fees in Section III for Singly Listed Options: SOX, HGX and OSX.

- The Cabinet and FLEX Option Fees above are not in addition to the Options Transaction Charges.
- Firm electronic Options Transaction Charges in Penny Pilot and non-Penny Pilot Options will be reduced to \$0.1[3]7 per contract [and \$0.00 for electronic Complex Orders that add liquidity] for a given month provided that a Firm has volume greater than [6]500,000 electronically-delivered contracts in a month ("Electronic Firm Fee Discount"). The Electronic Firm Fee Discount will apply per member organization when such members are trading in their own proprietary account.

\* \* \* \* \*

#### VI. MEMBERSHIP FEES

## A. Permit and Registration Fees

Permit Fees for Phlx Members (per month)<sup>14, 15</sup>

Transacting business on Phlx \$2,100<sup>16</sup>

Not transacting business on

Phlx \$7.500<sup>17</sup>

\* \* \* \* \*

<sup>15</sup>A member or member organization will be assessed the \$2,[0]100 monthly Permit Fee if that member or member organization: (1) transacts its option orders in its assigned Phlx house account in a particular month; or (2) is a clearing member of The Options Clearing Corporation or a Floor Broker; or (3) for those member organizations which are under common ownership, transacts at least one options trade in a Phlx house account that is assigned to one of the member organizations under common ownership. For purposes of the Permit Fee, "common ownership" shall be defined as at least 75% common ownership between the member organizations.

\* \* \* \* \*