EXHIBIT 5

Additions: <u>Underlined</u>
Deletions: [Bracketed]

Rules of NYSE MKT LLC

Rule 98 - Equities. Operation of a DMM Unit

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(c) Operation of a DMM unit.

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(4) Any <u>proprietary</u> interest entered into Exchange systems by the DMM unit in DMM securities must be identifiable as DMM unit interest<u>, unless such proprietary interest is for the purposes of facilitating the execution of an order received from a customer (whether the DMM's own customer or the customer of another broker-dealer) and is on a riskless principal basis, or on a principal basis to provide price improvement to the customer (a "customer-driven order"). A DMM unit must use a unique mnemonic that identifies to the Exchange its customer-driven orders in DMM securities. A mnemonic used to identify a DMM's customer-driven orders in DMM securities may not be used for trading activity at the Exchange in DMM securities that are not customer-driven orders, but may be used for trading activities in securities not assigned to the DMM.</u>

(5) The member organization must provide the Exchange with real-time net position information [for trading] in DMM securities by the DMM unit and any independent trading unit of which it is part at such times and in the manner prescribed by the Exchange.

(6) The DMM unit may not operate as a specialist or market maker on the Exchange or the NYSE MKT LLC equities or options trading floors in related products, unless specifically permitted in Exchange rules.

(7) The member organization shall maintain information barriers between the DMM unit and any investment banking or research departments of the member organization. No DMM or DMM unit may be directly supervised or controlled by an individual associated with an approved person or the member organization who is assigned to any investment banking or research departments.

(d) The [DMM] rules<u>, fees or credits applicable to DMM quoting or trading activity</u> will apply only to [the] <u>a</u> DMM unit[s']<u>'s</u> quoting or trading in [their] <u>its</u> DMM securities for [their] <u>its</u> own account[s at the Exchange] <u>that has been identified as DMM interest</u>. <u>Customer-driven orders for the account of a DMM unit that have not been identified as</u>

<u>DMM interest will not be subject to DMM rules or be eligible for any fees or credits applicable to DMM quoting or trading activity and may not be aggregated with interest that has been identified as DMM interest for purposes of any DMM-related fees or credits or DMM quoting obligations specified in Rule 104(a) - Equities.</u>

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