

Additions underlined
Deletions [bracketed]

Rules of NYSE MKT LLC

Rule 46 - Equities. Floor Officials—Appointment

(b) The Exchange Chairman, in consultation with the Executive Floor Governors and the Exchange's Regulatory Oversight Committee[NYSE Regulation Board of Directors] and with the approval of the Exchange Board, shall, at the annual meeting of the Exchange Board of Directors or at such other time as may be deemed necessary:

Rule 46A - Equities. Executive Floor Governors

(a) The Board of Directors of the Exchange, in consultation with the [Board of Directors of NYSE Regulation]Exchange's Regulatory Oversight Committee, shall appoint such number of Executive Floor Governors as it deems appropriate, each of whom shall serve for a term of one year, or until the next annual organizational meeting of the Exchange Board, whichever first occurs.

Rule 103B - Equities. Security Allocation and Reallocation

.10 Change of DMM Unit upon Request of Company

(b) NYSER shall review the Issuer Notice and any DMM response and may request a review of the matter by the Exchange's Regulatory Oversight Committee [NYSE Board of Directors]. No change of DMM Unit may occur until NYSER makes a final determination that it is appropriate to permit such change. In making such determination, NYSER may consider all relevant regulatory issues, including without limitation whether the requested change appears to be in aid or furtherance of conduct that is illegal or violates Exchange rules, or in retaliation for a refusal by a DMM to engage in conduct that is illegal or violates Exchange rules. Notwithstanding NYSER's review of any matter raised during the process described herein, NYSER may at any time take any regulatory action that it may determine to be warranted.

Rule 497 - Equities. Additional Requirements for Listed Securities Issued by ICE or its Affiliates

(a) For purposes of this Rule 497 - Equities the terms below are defined as follows:

(b) Prior to the initial listing of the Affiliate Security on the Exchange, NYSE Regulation shall determine that such securities satisfy the Exchange's rules for listing, and such finding must be approved by the Exchange's Regulatory Oversight Committee[NYSE Regulation Board of Directors].

(c) Throughout the continued listing of the Affiliate Security on the Exchange, NYSE Regulation shall

(1) prepare a quarterly report on the Affiliate Security for the [NYSE Regulation board of directors]Exchange's Regulatory Oversight Committee that describes: (a) the NYSE Regulation's monitoring of the Affiliate Security's compliance with the Exchange's listing standards, including, (i) the Affiliate Security's compliance with the Exchange's minimum share price requirement and (ii) the Affiliate Security's compliance with each of the quantitative continued listing requirements; and (b) NYSE's Regulation's monitoring of the trading of the Affiliate Security including summaries of all related surveillance alerts, complaints, regulatory referrals, adjusted trades, investigations, examinations, formal and informal disciplinary actions, exception reports and trading data used to ensure that the Affiliate Security's compliance with the Exchange's listing and trading rules. A copy of said report will be forwarded promptly to the Securities and Exchange Commission ("Commission").
