

Additions underscored.

Deletions [bracketed]

## NYSE AMEX OPTIONS FEE SCHEDULE\*

\*NYSE Amex Options is the options trading facility of NYSE MKT LLC

Effective as of May 1, 2015

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### Section I. Options Transaction Fees and Credits

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**G. CUBE Auction Fees & Credits.** The following table describes the per contract fees and credits for executions associated with a CUBE Auction. [Credits are payable to the Initiating Participant for each contract in an order paired with a CUBE Order that does not trade with the CUBE Order because it is replaced in the auction. The table below shows the credits payable to the Initiating Participant for executions associated with a CUBE Auction.]

<b>Participant/Penny or Non-Penny</b>	<b>Standard Option Per Contract Fee or Credit</b>
CUBE Order Fee Customer – both Penny Pilot and Non-Penny Pilot	\$0.00
CUBE Order Fee Non-Customer – both Penny Pilot and Non-Penny Pilot	\$0.20
Contra Order Fee – both Penny Pilot and Non-Penny Pilot	\$0.05
RFR Response Fee Customer – both Penny Pilot and Non-Penny Pilot	\$0.00
RFR Response Fee Non-Customer – Penny Pilot	[\$0.55] <u>\$0.60</u>
RFR Response Fee Non-Customer – Non-Penny Pilot	[\$0.90] <u>\$0.95</u>
Initiating Participant Credit - Penny Pilot	[( <u>\$0.40</u> )] ( <u>\$0.35</u> ) <sup>1</sup>
Initiating Participant Credit - Non-Penny Pilot	[( <u>\$0.80</u> )] ( <u>\$0.70</u> ) <sup>1</sup>
<u>ACE Initiating Participant Rebate – both Penny Pilot and Non-Penny Pilot</u>	<u>(\$0.12)</u> <sup>2</sup>

<sup>1</sup> Initiating Participant Credits are payable for each contract in an order paired with a CUBE Order that does not trade with the CUBE Order because it is replaced in the auction.

<sup>2</sup>The ACE Initiating Participant Rebate is applied to each of the first 5,000 Customer contracts of a CUBE Order executed in a CUBE Auction. This Rebate is in addition to any additional credits set forth above. Only ATP Holders who qualify for Tiers 2, 3, 4 or 5 of the ACE Program are eligible to receive the Rebate.