New text is <u>underlined</u>; Deleted text is in [brackets]

#### **NYSE MKT RULES**

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**Section 9. Margin Rules** 

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### **Rule 462. Minimum Margins**

(a) - (c) No change

#### **Other Provisions**

(d) Definitions

\* \* \* \* \*

- 1. 9. No change
- 10. Binary Return Derivatives<sup>SM</sup> ("ByRDs") ("Binary Return Derivatives" and "ByRDs" are service marks of the Exchange.)
- (A) Margin Account. Except as provided below, no ByRDs option carried long in a customer's account is or a customer shall be considered of any value for the purpose of computing the margin required in the account of such customer.
  - (i) The initial and maintenance margin on any ByRDs option carried long in a customer's account is 100% of the purchase price of such [Fixed Return Option]ByRDs option.
  - (ii)-(iv) No change.
- (B) No change
- 11. 13. No change

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## **Section 17. Binary Return Derivatives**

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## Rule 909ByRDs. Other Restrictions on [Fixed Return Option Transactions]<a href="mailto:Binary Return">Binary Return</a> Derivatives

Rule 909 shall be applicable to Binary Return Derivatives.

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# Rule 980ByRDs. Automatic Exercise of [Fixed Return Option Contracts]<u>Binary Return Derivatives</u>

Binary Return Derivatives will be automatically exercised at expiration if the Settlement Price of the underlying security is greater than the strike price of a Finish High or less than the strike price in the case of a Finish Low.

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