

Additions underscored
Deletions [bracketed]

NYSE MKT Equities
Price List 2014

Last Updated: [February 1] March 4, 2014

* * * * *

Fees and Credits Applicable to Executions in the Retail Liquidity Program

* * * * *

Retail Member Organization (“RMO”) credit per share per execution of a Retail Order against an RPI or MPL Order (an RMO shall be charged according to standard fee applicable to non-Retail Orders for a Retail Order that executes against the Book. The standard routing fee shall apply to a Retail Order that is routed away from the Exchange and executed on another market)..... \$0.0005

* * * * *

Equity Transaction Fees and Credits For Nasdaq Securities Traded Pursuant to Unlisted Trading Privileges

* * * * *

Fees and Credits Applicable to Executions in the Retail Liquidity Program

Retail Member Organization (“RMO”) credit per share per execution of a Retail Order against an RPI or MPL Order (an RMO shall be charged according to standard fee applicable to non-Retail Orders for a Retail Order that executes against the Book. The standard routing fee shall apply to a Retail Order that is routed away from the Exchange and executed on another market)..... \$0.0005

* * * * *