Additions <u>underlined</u> Deletions [bracketed]

NYSE MKT RULES

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Section 900NY. Rules Principally Applicable to Trading of Options Contracts

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Rule 964NY. Display, Priority and Order Allocation - Trading Systems

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- (c) Executions. This subsection (c) addresses situations in which orders or Quotes with Size are executed through the System.
 - (1) No Change.
 - (2) An inbound order will be either fully or partially executed as follows:
 - (A) No Change.
 - (B) the inbound order, if not entirely filled, will then satisfy any available interest based on Directed Order Market Maker or Specialist Pool guaranteed participation pursuant to Rule 964NY[(a)](b);
 - (C) (E) No Change.

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Rule 980NY. Electronic Complex Order Trading

For purposes of this Rule, an "Electronic Complex Order" means any Complex Order as defined in Rule 900.3NY (e) that is entered into the System.

- (a) No change.
- (b) No change.
- (c) Execution of Electronic Complex Orders: Electronic Complex Orders submitted to the Exchange may be executed without consideration of prices of the same

complex order that might be available on other exchanges. No leg of an Electronic Complex Order will be executed at a price outside the Exchange's best bid /offer for that leg. Electronic Complex Orders will be executed at the best available price and trade in the following way:

- (i) The CME will accept an incoming marketable Electronic Complex Order and will automatically execute it against Electronic Complex Orders in the Consolidated Book, or, if not marketable against another Electronic Complex Order, against individual orders or quotes residing in the Consolidated Book, provided the Electronic Complex Order can be executed in full (or in a permissible ratio) by the individual orders or quotes in the Consolidated Book. Notwithstanding the foregoing, if individual Customer orders residing in the Consolidated Book can execute the incoming Electronic Complex Order in full (or in a permissible ratio) at the same total or net debit or credit as an Electronic Complex Order in the Consolidated Book, the individual Customer orders will have priority. The allocation of orders or quotes residing in the Consolidated Book that execute against an Electronic Complex Order shall be done pursuant to Rule 964NY[except that the Specialist Pool and Directed Order Market Maker guaranteed participation afforded in Rule 964NY(c)(2)(B) will not apply to executions against a complex order].
- (ii) No change.
- (iii) ATP Holders will have the ability to view Electronic Complex Orders in the Consolidated Book via an electronic interface and may submit Electronic Complex Orders to the CME to trade against orders in the Consolidated Book. The allocation of complex trades among ATP Holders shall be done pursuant to Rule 964NY[, except that the Specialist Pool and Directed Order Market Maker guaranteed participation afforded in Rule 964NY(c)(2)(B) will not apply].
- (d) No change.
- (e) No change.
 - (1) (5) No change.
 - (6) Execution of COA Eligible Orders: COA Eligible orders will be executed against the best priced contra side interest. At the same net price, the order will be allocated as follows:
 - (A) Individual orders and quotes in the leg markets resting in the Consolidated Book prior to the initiation of a COA will have first priority to trade against a COA eligible order, provided the COA eligible order can be executed in full (or

in a permissible ratio) by the orders and quotes in the Consolidated Book. The allocation of orders or quotes residing in the Consolidated Book that execute against a COA eligible order shall be done pursuant to Rule 964NY[except that the Specialist Pool and Directed Order Market Maker guaranteed participation afforded in Rule 964NY(c)(2)(B) will not apply to executions against an Electronic Complex Order].

- (B) (C) No change.
- (D) Individual orders and quotes in the leg markets that cause the derived Complex Best Bid/Offer to be improved during the COA and match the best RFR Response and/or Electronic Complex Orders received during the Response Time Interval will be filled after Electronic Complex Orders and RFR Responses at the same net price. The allocation of orders or quotes residing in the Consolidated Book that execute against a COA eligible order shall be done pursuant to Rule 964NY[except that the Specialist Pool and Directed Order Market Maker guaranteed participation afforded in Rule 964NY(c)(2)(B) will not apply to executions against an Electronic Complex Order].
- (7) (9) No change.

Commentary

.01 - .04 No change.