

Additions underscored
Deletions [bracketed]

NYSE Arca Options Fees and Charges

Effective Date: [May 2] June 22, 2022

NYSE Arca GENERAL OPTIONS and TRADING PERMIT (OTP) FEES

OTP TRADING PARTICIPANT RIGHTS

Office or Clearing
Firms¹ \$1,000 per month per OTP

Floor Broker¹ \$500 per month per OTP

NYSE Arca Market Makers¹

Monthly Fee Per OTP	Number of Option Issues Permitted in Market Maker's Assignment
\$6,000 for 1 st OTP	Up to 175 option issues
\$5,000 for the 2 nd OTP	Up to 350 option issues
\$4,000 for the 3 rd OTP	Up to 1,000 option issues
\$3,000 for the 4 th OTP	All option issues traded on the Exchange
\$1,000 for the 5 th and additional OTPs	All option issues traded on the Exchange
\$175 for Reserve OTP	N/A

Effective the first day of the month following the completion of the Exchange's migration to the Pillar platform, OTP fees are charged based on the maximum number of OTPs held during the month, according to the table shown below. The "Bottom 45%" refers to the least actively traded issues on the Exchange, ranked by industry volume, as reported by the OCC for each issue during the calendar quarter. Each calendar quarter, with a one-month lag, the Exchange will publish on its website a list of the Bottom 45% of issues traded. Any newly listed issues will automatically become part of the Bottom 45% until the next evaluation period, at which time they may or may not remain part of

the Bottom 45% list depending upon their trading volumes and resultant rank among all issues traded on the Exchange.

<u>Number of OTPs</u>	<u>Monthly Fee Per OTP</u>	<u>Number of Issues Permitted in a Market Maker's Quoting Assignment</u>
<u>1st OTP</u>	<u>\$8,000</u>	<u>60 plus the Bottom 45%</u>
<u>2nd OTP</u>	<u>\$6,000</u>	<u>150 plus the Bottom 45%</u>
<u>3rd OTP</u>	<u>\$5,000</u>	<u>500 plus the Bottom 45%</u>
<u>4th OTP</u>	<u>\$4,000</u>	<u>1,100 plus the Bottom 45%</u>
<u>5th OTP</u>	<u>\$3,000</u>	<u>All issues</u>
<u>6th to 9th OTP</u>	<u>\$2,000</u>	<u>All issues</u>
<u>10th or more OTPs</u>	<u>\$500</u>	<u>All issues</u>
<u>Reserve Market Maker OTP</u>	<u>\$175</u>	<u>N/A</u>
