

Additions underscored
Deletions [bracketed]

NYSE Arca Equities

FEES AND CHARGES

Effective Date: September [7]16, 2022

NYSE ARCA MARKETPLACE: TRADE RELATED FEES AND CREDITS¹

EXCHANGE TRANSACTIONS

ETP HOLDERS AND MARKET MAKERS²

Round Lots and Odd Lots (Per Share Price \$1.00 or Above and Per Share Price Below \$1.00)

I. Definitions (applicable for purposes of fees and credits):

- “**ADV**” means average daily volume.
- “**Adding**” means the execution of an order on the Exchange that added liquidity.
- “**Auction**” means Early Open Auction, Core Open Auction, Trading Halt Auction and Closing Auction on NYSE Arca.
- “**Auction Orders**” means Market Orders, Market-On-Close Orders, Limit-On-Close Orders and Auction-Only Orders executed in a NYSE Arca auction.
- “**Cboe BZX Auction**” would mean orders routed for execution in the open or closing auction on Cboe BZX.
- “**Closing Orders**” means Market, Market-On-Close, Limit-On-Close, and Auction-Only Orders executed in a Closing Auction.
- “**Directed Orders**” means a Limit Order with instructions to route on arrival at its limit price to a specified alternative trading system (“ATS”) with which the Exchange maintains an electronic linkage.
- “**ETP Holders**” means ETP Holders and Market Makers.
- “**Nasdaq Auction**” would mean orders routed for execution in the open or closing auction on Nasdaq.
- “**NYSE American Auction**” would mean orders routed for execution in the open or closing auction on NYSE American.
- “**NYSE Auction**” would mean orders routed for execution in the open or closing auction on NYSE.
- “**Opening Orders**” means Market and Auction-Only Orders executed in an Early Open Auction, Core Open Auction or Trading Halt Auction.
- “**Removing**” means the execution of an order that removed liquidity.

- “**TCADV**” means total Customer equity and ETF option ADV as reported by The Options Clearing Corporation (OCC).
- “**CADV**” means unless otherwise stated, the United States consolidated average daily volume of transactions reported to a securities information processor (“SIP”). Transactions that are not reported to a SIP are not included in the CADV. If CADV is preceded by a reference to a Tape or to Sub-Dollar, then CADV would refer to all consolidated average daily volume of transactions reported to a SIP for all securities in that Tape or to all Sub-Dollar securities.

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V. **Standard Rates - Routing:**

Category	Orders routed that remove liquidity	Primary Until 9:45 Orders and Primary After 3:55 Orders designated as Retail Orders and routed to the primary market	Primary Only (“PO”) Orders in Tape A Securities routed to NYSE that add liquidity	PO Orders in Tape B Securities routed to NYSE American that add liquidity	PO Orders in Tape A Securities routed to NYSE Auction	PO Orders in Tape B Securities routed to NYSE American Auction	PO Orders in Tape B Securities routed to Cboe BZX Auction and in Tape C Securities routed to NASDAQ Auction
Securities priced at or above \$1.00	\$0.0035	\$0.0010	(\$0.0012)	No Credit	\$0.0010	\$0.0005	\$0.0030
Securities priced below \$1.00	0.3% of Dollar Value ^(a)	n/a	n/a	n/a	n/a	n/a	n/a

^(a) Applicable to orders of listed and Nasdaq securities routed away and executed by another market center or participant.

VI. Other Standard Rates - Routing (Per Share Price \$1.00 or Above)

- No fee for Directed Orders routed to OneChronos LLC.

[VI.] VII. Tier Rates - Round Lots and Odd Lots (Per Share Price \$1.00 or Above):

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[VII.] VIII. Tier Rates - Round Lots and Odd Lots (Per Share Price Below \$1.00)³

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