

Additions underlined  
 Deletions [bracketed]

**NYSE Arca Equities**

**FEES AND CHARGES**

**Effective Date: [October 3]November 1, 2022**

**NYSE ARCA MARKETPLACE: TRADE RELATED FEES AND CREDITS<sup>1</sup>**

EXCHANGE TRANSACTIONS

ETP HOLDERS AND MARKET MAKERS<sup>2</sup>

Round Lots and Odd Lots (Per Share Price \$1.00 or Above and Per Share Price Below \$1.00)

\* \* \* \* \*

**VII. Tier Rates - Round Lots and Odd Lots (Per Share Price \$1.00 or Above):**

\* \* \* \* \*

Retail Tiers					
Tier	Minimum Requirement of CADV			Rates for Retail Orders	
	Retail Orders with a time-in-force of Day that add and remove that is an increase over April 2018	Retail Orders with a time-in-force of Day that add and remove	Adding ADV	Credit for Retail Adding	Fee for Retail Removing with a Time-in-force of Day
Retail Tier 1 <sup>(d)(e)</sup>		0.50% or 55 Million ADV	1.00%	(\$0.0038)	No Fee
<u>Retail Tier 2<sup>(e)</sup></u>		<u>0.35%</u>		<u>(\$0.0037)</u>	<u>No Fee</u>
Retail Tier [2]3 <sup>(e)</sup>		0.20%		(\$0.0036)	No Fee

Retail Tier [3]4		0.10%		(\$0.0034)	
Retail Step-Up Tier (e)	0.075%			(\$0.0035)	No Fee

- (d) ETP Holders that qualify for Retail Tier 1 are subject to the following rates in Tape C:
- (\$0.0035) for Adding displayed liquidity.
  - \$0.0027 for Removing.
  - Additional (\$0.0002) for Adding non-displayed liquidity.
- (e) ETP Holders that qualify for Retail Tier 1, Retail Tier 2, Retail Tier 3 and Retail Step-Up Tier are subject to the following rates:
- No fee charged or credit paid for Retail Orders where each side of the executed order (1) shares the same MPID and (2) is a Retail Order with a time-in-force of Day.

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