

Additions underscored  
Deletions [bracketed]

### NYSE Arca Options Fees and Charges

**Effective Date: February [1] 9, 2023**

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FIRM AND BROKER  
DEALER MONTHLY  
FEE CAP

[\$150,000] \$200,000 cap per month on combined Firm Proprietary Fees and Broker Dealer Fees for transactions in standard option contracts cleared in the customer range for Manual (Open Outcry) Executions, and QCC transactions, exclusive of Strategy Executions, Royalty Fees and firm trades executed via a Joint Back Office agreement.<sup>9</sup> Once a Firm or Broker Dealer has reached the Firm and Broker Dealer Monthly Fee Cap, an incremental service fee of \$0.01 per contract for Firm or Broker Dealer Manual transactions will apply, except for the execution of a QCC order, in which case there is no incremental service fee.

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RATIO THRESHOLD	Monthly order to execution ratio:	Monthly Charge:
FEE <sup>12</sup>	Between 10,000 and 14,999 to 1	\$5,000
	Between 15,000 and 19,999 to 1	\$10,000
	Between 20,000 and 24,999 to 1	\$20,000
	25,000 to 1 and greater	\$35,000

Effective beginning in the month during which the Exchange commences its migration to the Pillar platform, the Ratio Threshold Fee will be waived. Such waiver will remain in effect [for the six months following the month during which the migration is completed] through April 30, 2023.

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