

Additions underscored  
Deletions [bracketed]

### NYSE Arca Options Fees and Charges

**Effective Date: January [12]14, 2022**

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#### **NYSE Arca OPTIONS: FLOOR and EQUIPMENT and CO-LOCATION FEES**

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Effective beginning the day the Exchange commences its migration to the Pillar platform, fees for Order/Quote Entry Ports, Quote Takedown Ports, and Drop Copy Ports (collectively, “Port Fees”) will be capped based on the total number of such ports an OTP Holder or OTP Firm is billed for in the month preceding the beginning of the Exchange’s migration to the Pillar platform (the “Migration Cap”). The Migration Cap will remain in effect until the end of the month in which the migration to the Pillar platform is completed (the “Migration Period”). If, during the Migration Period, an OTP Holder or OTP Firm utilizes fewer ports than it did in the month preceding the beginning of the Pillar migration (i.e., incurs Port Fees below the Migration Cap), such OTP Holder or OTP Firm will be charged Port Fees only for the actual number of ports utilized.

PORT FEES:	
ORDER/QUOTE ENTRY PORT*	Ports 1-40: \$450 per port per month Ports 41 and greater: \$150 per port per month
QUOTE TAKEDOWN PORT*	For each order/quote entry port utilized, NYSE Arca Market Makers may utilize, free of charge, one port dedicated to quote cancellation or “quote takedown,” which port(s) will not be included in the count of order/quote entry ports utilized. Any quote takedown port utilized by a NYSE Arca Market Maker that is in excess of the number of order/quote entry ports utilized will be counted and charged as an order/quote entry port.
* For purpose of calculating the number of order/quote entry ports and quote takedown ports, the Exchange shall aggregate the ports of affiliates.	

NYSE Arca Market Maker Open Outcry Discount	Any NYSE Arca Market Maker that executes 50% or more of their market maker volume in open outcry shall receive a discount on their monthly port fees of 60%, not to exceed a maximum dollar discount of \$10,000 per month
	Backup datacenter port: no fee unless utilized during the relevant month, in which case, above fees shall apply
DROP COPY PORT	<p>\$500 per port per month (only one fee per drop copy port shall apply, even if receiving drop copies from multiple order/quote entry ports and/or from NYSE Arca Equities)</p> <p>Backup datacenter port: no fee shall apply if configured such that it is duplicative of another drop copy port of the same user</p>

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