

Additions underscored
Deletions [bracketed]

NYSE Arca Equities

FEES AND CHARGES

Effective Date: [November 23] December 3, 2020

NYSE ARCA MARKETPLACE: TRADE RELATED FEES AND CREDITS¹

EXCHANGE TRANSACTIONS

ETP HOLDERS AND MARKET MAKERS²

* * * * *

Round Lots and Odd Lots (Per Share Price Below \$1.00)⁴

Tape A, Tape B, and Tape C Securities:	0.295% fee of the total dollar value for orders that take liquidity from the Book \$0.00004 per share credit for orders that provide liquidity to the Book
Sub-Dollar Adding Step Up Tier: For ETP Holders and Market Makers on a daily basis, measured monthly, that have an Adding ADV of 1 million shares with a per share price below \$1.00 (“Sub-Dollar Adding Orders”) and directly execute providing volume in Sub-Dollar Adding Orders equal to at least 0.20% of the CADV with a per share price below \$1.00 (“Sub-Dollar CADV”) over the ETP Holder’s or Market Maker’s July 2020 Sub-Dollar Adding ADV taken as a percentage of Sub-Dollar CADV (“Sub-Dollar Baseline”):	A credit for orders that provide liquidity to the Book in Sub-Dollar Adding Orders, as follows: <ul style="list-style-type: none"> • 0.[00]05% of the total dollar value for an increase of at least 0.20% more but less than 0.50% of Sub-Dollar CADV over the Sub-Dollar Baseline • 0.[00]10% of the total dollar value for an increase of at least 0.50% more but less than 0.75% of Sub-Dollar CADV over the Sub-Dollar Baseline • 0.[00]125% of the total dollar value for an increase of at least 0.75% more but less than 1.0% of Sub-Dollar CADV • 0.[00]15% of the total dollar value for an increase of at least 1.0% more of Sub-Dollar CADV over the Sub-Dollar Baseline

Routing Services:	0.3% fee of the total dollar value (applicable to orders of listed and Nasdaq securities routed away and executed by another market center or participant)
Auctions	0.1% of the total dollar value for orders that execute in the Early Open, Core Open, Trading Halt or Closing Auctions.

* * * * *