

Additions underscored  
Deletions [bracketed]

## NYSE Arca Equities

### FEES AND CHARGES

Effective Date: [March 27]April 1, 2020

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### NYSE ARCA MARKETPLACE: MARKET MAKER FEES AND CREDITS<sup>10</sup>

Lead Market Maker (“LMM”) Transaction Fees and Credits

Round Lots (Per Share Price \$1.00 or Above)

<p>NYSE Arca Marketplace Primary Listed Securities<sup>11</sup></p>	<p>\$0.0033 per share (credit) for orders that provide displayed liquidity to the Book in securities for which they are registered as the LMM and which have a CADV<sup>3</sup> in the previous month greater than 3,000,000 shares</p> <p>\$0.004 per share (credit) for orders that provide displayed liquidity to the Book in securities for which they are registered as the LMM and which have a CADV in the previous month of between 1,000,000 and 3,000,000 shares inclusive</p> <p>\$0.0045 per share (credit) for orders that provide displayed liquidity to the Book in securities for which they are registered as the LMM and which have a CADV in the previous month of less than 1,000,000 shares</p> <p>LMMs, and ETP Holders and Market Makers affiliated with such LMM in Tape B Securities, will receive an additional credit for orders that provide displayed liquidity to the Book in securities for which they are registered as the LMM and in securities for which they are not registered</p>
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	<p>as an LMM based on the number of securities that have a CADV in the prior calendar quarter of less than 100,000 shares, or 0.0[10]13% of Consolidated Tape B ADV, whichever is greater (“Less Active ETP Securities”), as follows:</p> <p style="text-align: center;">* * * * *</p>
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