

Additions underscored  
Deletions [bracketed]

### NYSE Arca Options Fees and Charges

**Effective Date: July [2] 11, 2019**

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#### **NYSE Arca OPTIONS: TRADE-RELATED CHARGES FOR STANDARD OPTIONS<sup>14</sup>**

Unless Professional Customer executions are specifically delineated, such executions will be treated as “Customer” executions for fee/credit purposes. Firms, Broker Dealers, and Market Makers are collectively referred to herein as “Non-Customers.”

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#### **MARKET MAKER PENNY PILOT AND SPY POSTING CREDIT TIERS**

OTP Holders and OTP Firms meeting the qualifications below will receive the corresponding credit on electronic executions of Market Maker posted interest in Penny Pilot Issues and SPY.<sup>8,15</sup>

<b>Tier</b>	<b>Qualification Basis (Share of TCADV)</b>			<b>Credit Applied to Electronic Executions of Market Maker Posted Interest in Penny Pilot Issues (except SPY)</b>	<b>Credit Applied to Electronic Executions of Market Maker Posted Interest in SPY</b>
Base				(\$0.28)	(\$0.28)
Select Tier	At least 0.25% of TCADV from Market Maker posted interest in all issues			(\$0.32)	(\$0.32)

Tier	Qualification Basis (Share of TCADV)			Credit Applied to Electronic Executions of Market Maker Posted Interest in Penny Pilot Issues (except SPY)	Credit Applied to Electronic Executions of Market Maker Posted Interest in SPY
Super Tier	At least 0.55% of TCADV from Market Maker posted interest in all issues, or		At least 1.60% of TCADV from all interest in all issues, all account types, with at least 0.80% TCADV from posted interest in all issues	(\$0.37)	(\$0.39)
Super Tier II	At least [0.20%] 0.10% of TCADV from Market Maker posted interest in all issues, plus ETP Holder and Market Maker posted volume in Tape B Securities (“Tape B Adding ADV”) that is equal to at least 1.50% of US Tape B consolidated average daily volume (“CADV”) for the billing month executed on NYSE Arca Equity Market; or	At least 0.10% of TCADV from Market Maker posted interest in all issues, plus at least 0.42% of executed ADV of Retail Orders of U.S. Equity Market Share Posted and Executed on NYSE Arca	At least 1.60% of TCADV from Market Maker interest in all issues, with at least 0.90% of TCADV from Market Maker posted interest in all issues	(\$0.42)	(\$0.42)

Tier	Qualification Basis (Share of TCADV)			Credit Applied to Electronic Executions of Market Maker Posted Interest in Penny Pilot Issues (except SPY)	Credit Applied to Electronic Executions of Market Maker Posted Interest in SPY
		Equity Market; or			

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