

Additions underscored
Deletions [bracketed]

NYSE Arca Options Fees and Charges

Effective Date: January 1, 2019

NYSE Arca OPTIONS: TRADE-RELATED CHARGES FOR STANDARD OPTIONS¹⁴

Unless Professional Customer executions are specifically delineated, such executions will be treated as “Customer” executions for fee/credit purposes. Firms, Broker Dealers, and Market Makers are collectively referred to herein as “Non-Customers.”

NYSE FANG+ Index (FAANG) Transaction Fees*	
Fees	Rate Per Contract
All Non-Customers and Professional Customers – Per Contract Side	\$0.35
All Customers – Per Contract Side	\$0.00
Market Makers [With Appointment in FAANG]	\$0.00
<i>*Fees apply to both Manual and Electronic Executions.</i>	

FAANG Rebate		
Tier	Floor Broker FAANG Executions	Rebate
1	From 200 to 999 contract sides	(\$1,000)
2	From 1,000 to 1,999 contract sides	(\$2,500)
3	2,000 to 19,999 contract sides	(\$5,000)
4	20,000 or more contract sides	(\$10,000)

[

A Floor Broker organization may qualify for the FAANG Rebate associated with the highest Tier achieved, as set forth in the table above. Eligibility for the FAANG Rebate is calculated based on the total monthly contract sides each Floor Broker organization executes on the Exchange.]

MM FAANG Credit: The Exchange will provide a credit of \$5,000 to any firm that is an NYSE Arca Options Market Maker or LMM that executes at least 500 total monthly contract sides that open a position on Exchange (“MM FAANG Credit”); provided, however, that if more than ten firms qualify for a MM FAANG Credit in a calendar month, the MM FAANG Credit for each qualifying firm will be a pro rata share of \$50,000.
