

Additions underscored
 Deletions [bracketed]

NYSE Arca Options Fees and Charges

Effective Date: [December 12, 2018]●, 2019

NYSE Arca OPTIONS: FLOOR and EQUIPMENT and CO-LOCATION FEES

[FLOOR BROKER ORDER CAPTURE DEVICE \$175 per device per month, not to exceed \$4,200 in total per device.]

[FLOOR BROKER ORDER CAPTURE DEVICE Pass-through fees
 MARKET DATA FEES]

FLOOR BOOTHS [\$350 per month per booth] \$80 per month,
 per linear foot

[Booth Fees are capped at \$3500 per month per OTP Firm, provided that, for the period June 1, 2014 through December 31, 2014, Booth Fees are capped at \$2450 per month per OTP Firm]

MARKET MAKER PODIUM FEES* [\$90 per month per podium]
First Podium: \$200 per month
Second Podium: \$400 per month
Third Podium: \$800 per month
Fourth Podium: \$1600 per month

Each podium comes equipped with four (4) standard monitors

Surcharge(s): \$100 per month for two (2) additional monitors per standalone podium.

Additional, one-time surcharges, for monitor upgrades:

\$200 per monitor for large monitor

\$300 per extra-large monitor

* Limits: Podia are only available to Market Makers with an active OTP (i.e., Market Makers that have only a Reserve OTP are ineligible for podia). Each Market Maker on the Trading Floor may have no more than four (4) total podia and, each Market Maker in a given Trading Crowd, may have not more than two (2) podia, or eight (8) monitors.

BOOTH/PODIUM
MODIFICATION FEE

OTP Holders and OTP Firms are responsible for all costs associated with any modifications or alterations to any floor booth or podia leased and must reimburse NYSE Arca Options for all costs incurred by NYSE Arca Options in connection therewith, including costs of prior approval and costs associated with restoring to standard configuration upon vacating the booth or podium. NYSE Arca Options staff time associated with a booth or podium modification will be charged at a rate of \$200 per hour, per staff member.

TELEPHONES [\$95 / month per MX phone; \$30 /month per non-MX phone;] \$14 per month per line [(MX and non-MX phones); \$40/month per cordless phone; \$110 /month per drop phone]

OPTIONS FLOOR ACCESS FEE \$125 per month for all registered floor personnel that do not pay an OTP Fee

[TRADE MATCH TERMINAL FEE \$80 per month per Exchange-provided dedicated terminal or per user ID on firm-provided PCs that connect via the Exchange to SIAC \$50 per month per user ID on firm-provided PCs that connect via the firm's SIAC connection]

WIRE SERVICES Pass-through fees (including Floor Broker market data fees)

[VENDOR EQUIPMENT ROOM	\$2,150 per cabinet per month.
CABINET FEE	Firms not using a full cabinet will pay a
pro rata portion]	

CONNECTIVITY FEE	\$300 per line per month per order
sending firm	

TELECOM MOVE/ADD/CHANGE FEE	\$100 per hour on pro-rated basis
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<u>ISP CONNECTION FEE</u>	<u>\$150 per month per connection, for</u> <u>either data or VOIP, capped at \$750 per</u> <u>month</u>
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