Additions <u>underlined</u> Deletions [bracketed]

NYSE Arca Equities

FEES AND CHARGES

Effective Date: [July 26] August 1, 2018

NYSE ARCA MARKETPLACE: TRADE RELATED FEES AND CREDITS¹

EXCHANGE TRANSACTIONS

ETP HOLDERS AND MARKET MAKERS 2

Round Lots and Odd Lots (Per Share Price \$1.00 or Above)

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Retail Order Step-Up Tier 1:	Tape A, Tape B, and Tape C Securities:
For ETP Holders, including	
Market Makers, that execute	\$0.0033 per share (credit) for Retail Orders that
an ADV ⁴ of Retail Orders	provide liquidity to the Book, unless a firm
with a time-in-force of Day	qualifies for a higher rebate based on its Tiered
that add or remove liquidity	Rates. No fee for Retail Orders with a time-in-
during the month that is an	force of Day that remove liquidity from the Book.
increase of 0.12% or more of	
the US CADV above their	For all other fees and credits, Tiered or Basic
April 2018 ADV taken as a	Rates apply based on a firm's qualifying levels.
percentage of US CADV ³ :	
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Retail Order Step-Up Tier 2:	Tape A, Tape B, and Tape C Securities:
For ETP Holders, including Market Makers, that provide	\$0.0025 per share (aredit) for Patail Orders that
Market Makers, that provide	\$0.0035 per share (credit) for Retail Orders that provide displayed liquidity to the Book, unless a
liquidity an average daily share volume per month of	firm qualifies for a higher rebate based on its
1.10% or more of the US	Tiered Rates. No fee for Retail Orders with a
$\frac{1.10\% \text{ of more of the CS}}{\text{CADV}^3}$, and execute an	time-in-force of Day that remove liquidity from
ADV ⁴ of Retail Orders with a	the Book.
time-in-force of Day that add	<u> </u>
or remove liquidity during the	Tape C Securities:
month that is an increase of	
0.35% or more of the US	\$0.0035 per share (credit) for orders that provide
CADV above their April 2018	displayed liquidity to the Book. ETP Holders and

ADV taken as a percentage of	Market Makers shall receive an incremental
<u>US CADV:</u>	credit of \$0.0002 per share for orders that provide
	non-displayed liquidity to the Book. The
	incremental credit shall be in addition to the ETP
	Holder's or Market Maker's Tiered or Basic Rate
	credit(s).
	\$0.0027 per share (fee) for orders that take
	liquidity from the Book in Tape C Securities.
	For all other fees and credits, Tiered or Basic
	Rates apply based on a firm's qualifying levels.
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