

Additions underscored
 Deletions [bracketed]

NYSE Arca Options Fees and Charges

Effective Date: October 1, 2013

NYSE Arca GENERAL OPTIONS and TRADING PERMIT (OTP) FEES

OTP TRADING PARTICIPANT RIGHTS

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Lead Market Maker Rights ²	Average National Daily Customer Contracts	Monthly Issue Fee
<u>*This tier only applies to a Lead Market Maker for a new option listed on the Exchange on or after October 1, 2013 or an existing option that is reallocated to a new Lead Market Maker on or after October 1, 2013. Otherwise, the Lead Market Maker for an option listed before October 1, 2013 is “grandfathered” and subject to the Monthly Issue Fee for the next highest tier.</u>	<u>0 to 100*</u>	<u>\$125</u>
	[0] <u>101 to 1,000</u>	<u>\$45</u>
	<u>1,001 to 2,000</u>	<u>\$75</u>
	<u>2,001 to 5,000</u>	<u>\$200</u>
	<u>5,001 to 15,000</u>	<u>\$375</u>
	<u>15,001 to 100,000</u>	<u>\$750</u>
	<u>Over 100,000</u>	<u>\$1,500</u>

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2. This fee is in addition to the Market Maker Fee and will be billed on a per issue basis to the OTP Firm acting as LMM in the issue. This fee will not apply to Interim LMMs acting in accordance with Rule 6.82(b)(4). This fee will not apply to issues that have been designated as “closing only” and are subject to delisting by the Exchange. In calculating the Rights Fee, each Mini contract traded will count the same as one standard contract that has traded.

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