Additions <u>underscored</u> Deletions [bracketed]

Effective Date: November 1, 2012

NYSE Arca OPTIONS: TRADE-RELATED CHARGES

TRANSACTION FEE - PER CONTRACT

	Standard	Electronic Executions in	
	Executions ⁵	Penny Pilot Issues ⁶	
Order Type		Post	Take
		Liquidity	Liquidity
LMM	\$0.09	-\$0.32	\$0.45
NYSE Arca Market Maker	\$0.16	-\$0.32	\$0.45
Firm and Broker Dealer Electronic	[\$0.50] <u>N/A</u>	-\$0.10	\$0.45
Firm and Broker Dealer Manual	\$0.25	N/A	N/A
Customer Electronic	[\$0.00] <u>N/A</u>	-\$0.25	\$0.45
Customer Manual	\$0.00	N/A	N/A
Firm Facilitation and Broker Dealer	\$0.00	N/A	N/A
facilitating a Customer – Manual ⁷			

	Electronic Executions in Non		
	Penny Pilot Issues ^{5, 6}		
	Post Liquidity	Take Liquidity	
Customer Electronic	<u>-\$0.75</u>	<u>\$0.79</u>	
<u>LMM</u>	<u>-\$0.40</u>	<u>\$0.78</u>	
NYSE Arca Market Maker	<u>-\$0.30</u>	\$0.80	
Firm and Broker Dealer Electronic	<u>\$0.50</u>	<u>\$0.85</u>	

[MARKETING CHARGE

\$0.65 per contract side on transactions of Lead Market Makers and Market Makers against all public customer orders.

Marketing Charges will not be assessed on transactions in Penny Pilot issues.]

- 1.-4. No Change.
- 5. Standard Executions include all [executions in non-Penny Pilot issues and all] manual executions [in Penny Pilot Issues] and exclude all electronic transactions.

A manual order that executes in part against one or more electronic orders or quotes resting on the Consolidated Book prior to executing against interest in the Trading Crowd shall be assessed the applicable manual transaction fee for the entire order. A manual order that executes entirely against one or more electronic orders or quotes resting on the Consolidated Book shall be assessed the applicable electronic transaction fee for the entire order. In either case, the contra-side electronic order or quote shall be assessed the applicable electronic transaction fee or credit.

- 6. For Electronic Executions [in Penny Pilot issues], Post Liquidity Fees are credited[,] and Take Liquidity Fees are charged (except that Firms and Broker Dealers shall be charged for electronic executions in non-Penny Pilot issues that post liquidity). Transaction fees do not apply to executions occurring during the Opening Auction [in Penny Pilot issues]. Penny Pilot issues trade as part of a pilot program in accordance with Rule 6.72.
- 7. 12. No Change.
