## **EXHIBIT 5**

New text is <u>underlined</u>; Deleted text is in [brackets]

## Rules of NYSE Arca, Inc.

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## **Rules Principally Applicable to Trading of Option Contracts**

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## Rule 6.40. Market Maker Risk Limitation Mechanism — OX

- (a) No change.
- (b) Market Maker Risk Limitation Mechanism. OX will activate the Market Maker Risk Limitation Mechanism in an appointed class whenever the following conditions are met: The trade counter has reached "n" executions within one second against the quotes of the Market Maker in the Market Maker's appointed class. When the above conditions are met, the trading engine will automatically cancel all quotes posted by the Market Maker in that class by generating a "bulk cancel" message.
  - (1) The Exchange shall specify any applicable minimum, maximum and/or default settings for the Market Maker Risk Limitation Mechanism via Regulatory Bulletin, provided that the Exchange shall not specify a minimum setting of less than one execution per second or a maximum setting of more than 100 executions per second [automatically will default the "n" number of executions to 50 executions per second].
  - (2) <u>Reserved.</u> [Each Market Maker quoting in an issue may instead opt to set the "n" number of executions at no fewer than five executions per second up to a maximum of 100 executions per second. At no time may the trade counter be set for a trade rate of less than five executions in a one second period.]
- (c) through (f) No change.

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