

Additions underscored

Deletions [bracketed]

Rules of The NYSE Arca, Inc.

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RULE 6

OPTIONS TRADING

Rule 6.3.

Option Contracts to Be Traded

The Exchange may from time to time approve for listing and trading on the Exchange put option contracts and call option contracts in respect of underlying stocks or Exchange-Traded Fund Shares which have been selected in accordance with Rule 5.3. All such option contracts shall be designated as to the type of option, the underlying stock or Exchange-Traded Fund Share, the expiration month and the exercise price. Only option contracts of a series of options approved by the Exchange and currently open for trading on the Exchange may be purchased or sold (written) on the Exchange.

Commentary:

.01 Mini-Options Contracts The Exchange may list mini-options contracts overlying 10 shares of SPDR S&P 500 (SPY), Apple, Inc. (AAPL), SPDR Gold Trust (GLD), Google Inc. (GOOG), and Amazon.com Inc. (AMZN) for all expirations applicable to 100 share options in each class.

Rule 6.4.

Series of Options Open for Trading

(a) – (f) No Change

Commentary:

.01 - .13 No Change

.14 Mini-Options Contract Series

(a) Series of mini-options contracts overlying 10 shares of stocks, Exchange Traded

Fund Shares, Trust Issued Receipts, Exchange Traded Notes, and other Index Linked Securities may be listed for all expirations applicable to 100 share options in the class, provided the underlying security has been designated in Rule 6.3 Commentary .01.

(b) Strike prices for mini-options contracts overlying 10 shares shall be set at the same level as for regular options. For example, a call series strike price to deliver 10 shares of stock at \$125 per share has a total deliverable value of \$1250, and the strike price will be set at 125.

(c) No additional mini-options series may be added if the underlying security is trading at \$90 or less. The underlying security must trade above \$90 for five consecutive days prior to listing mini-options contracts in an additional expiration month.

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Rule 6.8.

Position Limits

Except with the prior written approval of the Exchange in each instance, no OTP Holder or OTP Firm shall effect, for any account in which such OTP Holder or OTP Firm has an interest or for the account of any partner, officer, director or employee thereof or for the account of any customer, an opening transaction in an option contract (i) of any class of options dealt in on the Exchange or (ii) of any class of options dealt in on another exchange (if the OTP Holder or OTP Firm is not a member of that other exchange) if the OTP Holder or OTP Firm has reason to believe that as a result of such transaction the OTP Holder or OTP Firm or partner, officer, director or employee thereof or customer would, acting alone or in concert with others, directly or indirectly, hold or control or be obligated in respect of:

- (1) an aggregate long position in any class of options; or
- (2) an aggregate short position in any class of options; or
- (3) an aggregate position on the same side of the market in the same underlying stock, which position shall be ascertained by combining long call options with short put options and short call options with long put options; or
- (4) an aggregate uncovered short position in any class of options in excess of such number of option contracts as shall be fixed from time to time by the Exchange as the position limit for that particular class of options or expiration month or as shall be fixed by another exchange if the class of options is not dealt in on the Exchange. Position limits for equity options are set forth in Rule 6.8 Commentary .05 and position limits for index options are set forth in Rule 5.16.

Commentary:

.01 - .07 No change.

.08 For purposes of determining compliance with the position limits under this Rule 6.8, ten mini-option contracts as defined in Rule 6.3 shall equal one standard contract overlying 100 shares

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Rule 6.71.

Meaning of Premium Bids and Offers

(a) *General.* Except as provided in paragraph (b), bids and offers shall be expressed in terms of dollars per unit of the underlying security [or Exchange-Traded Fund Share]. (e.g., a bid of "7" shall represent a bid of \$700 for an option contract having a unit of trading consisting of 100 shares of an underlying security [or Exchange-Traded Fund Share], or a bid of \$770 for an option contract having a unit of trading consisting of 110 shares of an underlying security [or Exchange-Traded Fund Share].

(b) *Special cases.* Bids and offers for an option contract for which the Exchange has established an adjusted unit of trading in accordance with Rule 6.4 shall be expressed in terms of dollars per 1/100 part of the total securities and/or other property constituting such adjusted unit of trading. (e.g., an offer of "6" shall represent an offer of \$600 on an option contract having a unit of trading consisting of 100 shares of an underlying security [or Exchange-Traded Fund Share] plus 10 rights.)

(c) *Mini-options contracts.* Bids and offers for an option contract overlying 10 shares, as permitted under Rule 6.3 Commentary .01, shall be expressed in terms of dollars per 1/10th part of the total value of the contract. An offer of "3.20" shall represent an offer of \$32 on an option contract having a unit of trading consisting of 10 Shares.

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