EXHIBIT 5

Additions are <u>underlined</u>;
Deletions are [bracketed].

NYSE Arca Equities, Inc. Rules

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Rule 5320. Prohibition Against Trading Ahead of Customer Orders

(a) through (b) No change.

• • • *Commentary* : ------------------

.01 No change.

.02 No-Knowledge Exception

<u>(a)</u> With respect to NMS stocks, as defined in Rule 600 of SEC Regulation NMS, if an ETP Holder implements and utilizes an effective system of internal controls, such as appropriate information barriers, that operate to prevent one trading unit from obtaining knowledge of customer orders held by a separate trading unit, those other trading units trading in a proprietary capacity may continue to trade at prices that would satisfy the customer orders held by the separate trading unit. An ETP Holder that structures its order handling practices in NMS stocks to permit its market-making desk to trade at prices that would satisfy customer orders held by a separate trading unit must disclose in writing to its customers, at account opening and annually thereafter, a description of the manner in which customer orders are handled by the ETP Holder and the circumstances under which the ETP Holder may trade proprietarily at its market-making desk at prices that would satisfy the customer order.

<u>(b) If an ETP Holder implements and utilizes appropriate information barriers in reliance on this exception, the ETP Holder must uniquely identify such information barriers as prescribed in FINRA Rule 7440(b)(19), which is incorporated by reference in NYSE Arca Equities Rule 7440.</u>

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