

Additions underlined
 Deletions [bracketed]

**New York Stock Exchange
 Price List 2021**

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Last Updated: [~~November 16~~]December 1, 2021

Transaction Fees*

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

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Tier	SLP Adding ADV % Tape A CADV Step Up over Baseline	Tiered Display Incremental Credit*
SLP Incremental Tier 3	0.100%	\$(0.00010)
SLP Incremental Tier 2	0.150%	\$(0.00020)
SLP Incremental Tier 1	0.250%	\$(0.00030)

* Combined SLP credits shall not exceed \$0.0032 per share in a billing month. Baseline for SLP Incremental Step Up Tiers is the second quarter of 2018, third quarter of 2018, or the month of January 2021, whichever is lowest.

[SLP NBBO Setter Tier Credits

	Minimum Requirements for Tapes A, B and C		
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SLP NBBO Setter Tier Credit Tier for Adding Liquidity	Adding ADV % Tape ABC CADV, including DMM ADV	NBBO Setting ADV % Tape ABC CADV, including DMM ADV	Tiered Credit for Setting NBBO (ASP)	Tiered Credit for Other Displayed Add
Setter Tier 4	0.55%	0.05%	\$(0.00350)	\$(0.00305)
Setter Tier 3	0.65%	0.09%	\$(0.00360)	\$(0.00310)
Setter Tier 2	0.95%	0.18%	\$(0.00370)	\$(0.00320)
Setter Tier 1	1.25%	0.30%	\$(0.00380)	\$(0.00330)

]Other Credits

<p>Credit per Share – per transaction – for SLPs – when adding liquidity to the NYSE with orders designated as “retail” (i.e., orders that satisfy the Retail Modifier requirements of Rule 13) in securities with a per share price of \$1.00 or more.</p>	<p>\$0.0030; or the rates set forth elsewhere in this section if a Non-Displayed Reserve Order or an MPL Order</p>
<p>Credit per Share – per transaction – for affiliated SLPs – when adding liquidity to the NYSE in securities with a per share price of less than \$1.00, if an SLP (i) meets the 10% average or more quoting requirement in an assigned security pursuant to Rule 107B (quotes of an SLP-Prop and an SLMM of the same member organization shall not be aggregated) and (ii) adds liquidity for all assigned SLP securities in the aggregate (including shares of both an SLP-Prop and an SLMM of the same or an affiliated member organization) of an ADV of more than 0.22% of NYSE CADV in the applicable month.</p>	<p>\$0.0005</p>

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