

Additions underlined
 Deletions [bracketed]

**New York Stock Exchange
 Price List 2021**

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Last Updated: [August 2]September 1, 2021

Transaction Fees*

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

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Fees and Credits applicable to Designated Market Makers (“DMMs”)

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<p>Rebate Per Share⁶ when adding liquidity in shares of Less Active Securities if the Less Active Security has a stock price of \$1.00 or more and the DMM does not meet the Less Active Securities Quoting Requirement in the applicable security in the applicable month.</p> <p>A DMM that (1) has DMM assigned securities that did not meet the Less Active Securities Quoting Requirement in the applicable security, and (2) meets the DMM Additional Quoting Requirement will receive an incremental credit of \$0.0020 per share in each eligible assigned Less Active Security.</p>	<p>\$0.0015</p>
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<p>Incremental Rebate Per Share for each More Active Security with a stock price of at least \$1.00 on current rebates of \$0.0034 or less, in a month where NYSE CADV is equal to or greater than 4.0 billion shares, when adding liquidity with orders, other than MPL Orders, in such securities and the DMM [either:</p> <ul style="list-style-type: none"> (i)]has providing liquidity in all assigned securities as a percentage of NYSE CADV that is an increase of 0.30% more than the DMM’s April 2020 providing liquidity in all assigned securities as a percentage of NYSE CADV[, or (ii) has providing liquidity in all assigned securities as a percentage of NYSE CADV that is an increase of at least 40% more than the DMM’s April 2020 providing liquidity in all assigned securities as a percentage of NYSE CADV for DMMs with 750 or fewer assigned securities in the previous month]. 	<p>\$0.0002 per share in each eligible assigned More Active Security</p>
<p>DMM NBBO Setter Tier Credit – Incremental Rebate Per Share for securities with a stock price of at least \$1.00 in Tape A, B and C Securities, when adding liquidity with orders, other than MPL Orders, for DMMs with:</p>	

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Credit Applicable to Supplemental Liquidity Providers (“SLPs”)

<p>Credit per Share - per transaction - for affiliated SLP - when adding liquidity to the NYSE, with orders, other than MPL and Non-Displayed Reserve Orders, in securities with a per share price of \$1.00 or more, if an SLP does not qualify for the higher credit set forth below (“SLP Non-Tier”)</p>	<p>The applicable Non-Tier or Tiered non-SLP Adding Credit</p>	
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	<u>Minimum Requirements</u>			
<u>Tier for Adding Liquidity</u>	<u>SLP Adding ADV % Tape A CADV</u>		<u>Tiered Display Credit</u>	<u>Tiered Non Display Credit</u>
SLP Step Up	0.085% over April 2018 Baseline		\$(0.0018)	\$(0.0001)
[SLP Tier 5]	[0.60% and 0.80% including Non SLP and 250,000 ADV in Retail Price Improvement Orders]		[\$(0.00310)]	[\$(0.00120)]
SLP Tier [4] <u>5</u>	First 2 calendar months as an SLP OR	0.03% and averaging less than 0.01% in each of the prior 3 months	\$(0.0029)	\$(0.00105)
SLP Tier [3] <u>4</u>	0.20%		\$(0.0023)	\$(0.0006)
SLP Tier [2] <u>3</u>	0.45%		\$(0.0026)	\$(0.0009)
SLP Tier [1A] <u>2</u>	0.60%		\$(0.00275)	\$(0.00105)
SLP Tier 1	0.90%	0.75% if qualifying for SLP Cross Tape Incentive Tier	\$(0.0029)	\$(0.0012)

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