

Additions underlined
Deletions [bracketed]

**New York Stock Exchange
Price List 2021**

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Last Updated: [June 21]July 1, 2021

Transaction Fees*

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

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Credit Applicable to Supplemental Liquidity Providers (“SLPs”)

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SLP Adding Tiers

For SLP symbols that meet the 10% average quoting requirement in an assigned security pursuant to Rule 107B, other than MPL Orders, in securities with a per share price of \$1.00 or more:

	<u>Minimum Requirements</u>			
<u>Tier for Adding Liquidity</u>	<u>SLP Adding ADV % Tape A CADV</u>		<u>Tiered Display Credit</u>	<u>Tiered Non Display Credit</u>
SLP Step Up	0.085% over April 2018 Baseline		\$(0.0018)	\$(0.0001)
SLP Tier 5	0.60%[0.65%] and 0.80%[0.85%] including Non SLP and 250,000 ADV in Retail Price Improvement Orders		\$(0.00310)	\$(0.00120)
SLP Tier 4	First 2 calendar months as an SLP OR	0.03% and averaging less than 0.01% in each of the prior 3 months	\$(0.0029)	\$(0.00105)
SLP Tier 3	0.20%		\$(0.0023)	\$(0.0006)
SLP Tier 2	0.45%		\$(0.0026)	\$(0.0009)
SLP Tier 1A	0.60%		\$(0.00275)	\$(0.00105)
SLP Tier 1	0.90%	0.75% if qualifying for SLP Cross Tape Incentive Tier	\$(0.0029)	\$(0.0012)

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