

Additions underlined
 Deletions [bracketed]

**New York Stock Exchange
 Price List 2020**

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Last Updated: October [1]20, 2020

Transaction Fees*

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

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Executions at the Close Equity Per Share Charge – per transaction (both sides) –

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Registration & Regulatory Fees

Registration Fees

Designated Market Makers (annual and new)	
Regular Designated Market Makers	\$1,000.00
Associate and relief Designated Market Makers	\$50.00

Regulatory Fee

Monthly Gross FOCUS Fee: Amount per \$1,000 Gross FOCUS Revenue, subject to the annual minimums set forth immediately below	\$0.12 <u>\$0.11 as of January 1, 2021</u>
Minimum Annual Gross FOCUS Fee for:	
Member organizations who do not conduct a public business	\$45.00
Introducing firms	\$250.00
Carrying firms and Designated Market Makers	\$500.00
Member organizations operating solely under a Bond Trading License are not subject to the Monthly Gross FOCUS Fee and instead are subject to the following annual Regulatory Fee:	\$500.00

Other

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