

Additions underlined  
 Deletions [bracketed]

**New York Stock Exchange  
 Price List 2019**

\* \* \* \* \*

**Last Updated: [September 3]October 1, 2019**

**Transaction Fees\***

Regular Session Trading<sup>1</sup>

Transactions in stocks with a per share stock price of \$1.00 or more

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Executions at the Close Equity Per Share Charge – per transaction (both sides) –

\* \* \* \* \*

<p>Step Up Tier <u>1</u> Adding Credit – Equity per Share Credit – per transaction – for all orders, other than MPL and Non-Displayed [Reserve o]<u>Limit Orders</u>, that add liquidity to the NYSE if the Member Organization [(i)] has Adding ADV, excluding any liquidity added by a DMM, that is (i) at least 0.45% of NYSE CADV, and (ii) [has Adding ADV, excluding any liquidity added by a DMM, that is ]at least 0.20% of NYSE CADV over that Member Organization’s March 2019 adding liquidity taken as a percentage of NYSE CADV.</p> <p>Member organizations that meet the above requirements and add liquidity, excluding liquidity added as an Supplemental Liquidity Provider, in <u>Tapes B and C</u> [s]<u>Securities</u> [traded pursuant to Unlisted Trading Privileges (Tapes B and C) on the Pillar Trading Platform ]of at least 0.20% of Tape B and Tape C CADV combined will receive an additional \$0.00005 per share.</p>	<p>\$0.0019</p>
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<p><u>Step Up Tier 2 Adding Credit – Equity per Share Credit – per transaction – for all orders, other than MPL and Non-Displayed Limit Orders, that add liquidity to the NYSE if the Member Organization quotes at least 15% of the NBBO in 300 or more Tape A securities on a monthly basis and has Adding ADV as a percentage of NYSE CADV, excluding any liquidity added by a DMM, that (i) is at least two times more than the Member Organization’s Adding ADV in July 2019 as a percentage of NYSE CADV, and (ii) exceeds the Member Organization’s Adding ADV in July 2019 as a percentage of NYSE CADV as follows:</u></p> <ul style="list-style-type: none"> <li>• <u>For the billing month of October 2019, an Adding ADV, excluding any liquidity added by a DMM, that is at least 0.35% of NYSE CADV over that Member Organization’s July 2019 Adding ADV as a percentage of NYSE CADV.</u></li> <li>• <u>For the billing month of November 2019, an Adding ADV, excluding any liquidity added by a DMM, that is at least 0.70% of NYSE CADV over that Member Organization’s July 2019 Adding ADV as a percentage of NYSE CADV.</u></li> <li>• <u>For the billing month of December 2019 and for each month thereafter, an Adding ADV, excluding any liquidity added by a DMM, that is at least 1.05% of NYSE CADV over that Member Organization’s July 2019 Adding ADV as a percentage of NYSE CADV.</u></li> </ul> <p><u>Member organizations that meet the above requirements and add liquidity, excluding liquidity added as an Supplemental Liquidity Provider, in Tapes B and C Securities of at least 0.20% of Tape B and Tape C CADV combined will receive an additional \$0.00005 per share.</u></p>	<p><u>\$0.0029</u></p>
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