

Additions underlined  
 Deletions [bracketed]

**New York Stock Exchange  
 Price List 2019**

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**Last Updated: August 15, 2019**

**Transaction Fees\***

Regular Session Trading<sup>1</sup>

Transactions in stocks with a per share stock price of \$1.00 or more

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Transaction Fees and Credits For Securities Traded Pursuant to Unlisted Trading Privileges  
 (Tapes B and C) on the Pillar Trading Platform

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<b>Category</b>	<b>Adding Liquidity</b>	<b>Removing Liquidity</b>
Securities at or above \$1.00	(\$0.0020) per share (displayed) unless a credit below applies  No charge (non-displayed)  (\$0.0010) per share in each tape (MPL) unless a credit below applies	\$0.0030 per share unless, including MPL orders, unless the Floor broker fee or Remove Tier fees below applies  \$0.0026 per share (Floor brokers)

Category	Adding Liquidity	Removing Liquidity
	<p>(\$0.0030) per share (Retail)</p> <p>(\$0.0026) per share (displayed) (Floor brokers)</p> <p>Cross trades (i.e., a trade where a Floor broker executes customer orders to buy and sell an equivalent amount of the same security) – (\$0.0006)</p>	

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<b>Adding Tiers For Securities At or Above \$1.00</b>	<b>Tier 2</b>	<b>Tier 1</b>
<p>Per-Tape Requirement ([Client] <u>Non-SLP and Floor broker</u> Adding % Tape CADV)</p> <p>Per-Tape [Client] <u>Non-SLP and Floor broker</u> Display Adding Rate</p>	<p>0.03% (including shares of both an SLP-Prop and an SLMM of the same or an affiliated member organization)</p> <p>(\$0.0023) per share</p>	<p>0.10% (including shares of both an SLP-Prop and an SLMM of the same or an affiliated member organization)</p> <p>(\$0.0026) per share, (\$0.0025) if MPL order, on a per Tape basis</p>

<p><b>Remove Tiers For Securities At or Above \$1.00</b></p> <p>[Per-Tape] Requirement [(Client Adding ADV<sup>4</sup>)]</p> <p><u>Rate</u></p>	<p><b><u>Tier 2</u></b></p> <p>At least 50,000 shares <u>Per Tape of Non-SLP and Floor broker Adding ADV<sup>4</sup></u>:</p> <p>\$0.00285 per share for removing per Tape</p>	<p><b><u>Tier 1</u></b></p> <p><u>At least either:</u></p> <ul style="list-style-type: none"> <li>• <u>0.175% Removing ADV<sup>±</sup> in Tapes B and C combined as a percentage of Tape B and C CADV, or</u></li> <li>• <u>0.075% Removing ADV<sup>±</sup> in Tapes B and C combined as a percentage of Tape B and C CADV, and execute an ADV<sup>4</sup> of MOC and LOC activity combined on the NYSE in Tape A securities of at least 0.35% of NYSE CADV.</u></li> </ul> <p><u>\$0.0026 per share for removing in both Tapes B and C</u></p>
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<sup>±</sup> The term “Removing ADV” means the average daily volume of orders executed on the Exchange during the billing month that removed liquidity.

<p><b>SLP Provide Tiers<sup>+</sup></b> For Securities at or above \$1.00</p>				
<p>Per-Tape Requirement (SLP Provide % Tape CADV) unless otherwise indicated</p>	<p><b>Non Tier</b></p> <p>Not Applicable</p>	<p><b>Tier 2</b></p> <p>0.03% per tape and quotes, calculated monthly, in excess of the 10% average quoting requirement in 200 or more assigned securities in Tapes B and C combined<sup>++</sup> pursuant to Rule 107B</p>	<p><b>Tier 1</b></p> <p>0.10% for Tape B and 0.075% for Tape C, and quotes, calculated monthly, in excess of the 10% average quoting requirement in 400 or more assigned securities in Tapes B and C combined<sup>++</sup> pursuant to Rule 107B</p>	<p><b>Tape A Tier</b></p> <p>SLP Tier 1 in both Tape B and C or quotes, calculated monthly, in excess of the 10% average quoting requirement in 300 or more assigned securities separately in Tapes B and Tape C combined<sup>++</sup> pursuant to Rule 107B</p>
<p>SLP Display Provide Rate</p>	<p>(\$0.0026) per share per tape in assigned securities where the SLP meets the 10% average quoting requirement pursuant to</p>	<p>(\$0.0029) per share per tape in assigned securities where the SLP meets the 10% average quoting requirement pursuant to</p>	<p>(\$0.0033[1]) per share per tape in assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</p>	<p>(\$0.00005) per share in addition to the Tape A SLP credit in Tape A assigned securities where the SLP meets the 10% average quoting</p>

<p>SLP Non-Display Provide Rate</p>	<p>Rule 107B  (\$0.0008) per share per tape in assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</p>	<p>Rule 107B  (\$0.0011) per share per tape in assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</p>	<p>(\$0.0015<sup>[4]</sup>) per share per tape, (\$0.0025 if MPL order) in assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</p>	<p>requirement pursuant to Rule 107B  Not Applicable</p>
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