Additions <u>underlined</u> Deletions [bracketed]

Rules of New York Stock Exchange LLC

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## Rule 123D. Openings and Halts in Trading

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(d) Initial Listing Regulatory Halt. The Exchange may declare a regulatory halt in a security that is the subject of an initial pricing on the Exchange of a security that has not been listed on a national securities exchange[ or traded in the over-the-counter market pursuant to FINRA Form 211] immediately prior to the initial pricing. This regulatory halt will be terminated when the DMM opens the security.

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