

Additions underscored
 Deletions [bracketed]

**New York Stock Exchange
 Price List 2018**

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Last Updated: [October 4]November 1, 2018

Transaction Fees*

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

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Credit Applicable to Supplemental Liquidity Providers (“SLPs”)

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<p>Incremental Credit per Share – per transaction – for affiliated SLPs+ – in addition to the tiered or non-tiered SLP credit <u>for adding displayed liquidity</u>, when adding liquidity to the NYSE with orders, other than MPL orders or Retail orders, in securities with a per share price of \$1.00 or more, if an SLP (i) meets the 10% average or more quoting requirement in an assigned security pursuant to Rule 107B (quotes of an SLP-Prop and an SLMM of the same member organization shall not be aggregated), and (ii) adds liquidity for all assigned SLP securities in the aggregate (including shares of both an SLP-Prop and an SLMM of the same or an affiliated member organization) [of an ADV⁴ of more than 0.15% of NYSE CADV] in the billing month over the SLP’s adding liquidity for all assigned SLP securities in the aggregate (including shares of both an SLP-Prop and an SLMM of the same or an affiliated member organization) as a percent of NYSE CADV in the second quarter of 2018 (“Incremental SLP Step Up Tier”) <u>as follows:</u></p> <ul style="list-style-type: none"> • <u>an ADV⁴ of more than 0.10% of NYSE CADV: \$0.0001 credit</u> • <u>an ADV⁴ of more than 0.15% of NYSE CADV: \$0.0002 credit</u> • <u>an ADV⁴ of more than 0.25% of NYSE CADV: \$0.0003 credit</u> <p><u>SLPs can only qualify for one of the above credits in a billing month.</u></p> <p><u>Combined SLP credits shall not exceed \$0.0032 per share in a billing month.</u></p>	<p><u>\$0.0001, \$0.0002, or \$0.0003 credit based on SLP’s adding liquidity</u>[which shall be in addition to the SLP’s tiered or non-tiered credit for adding displayed liquidity, however, that such combined credits shall not exceed \$0.0031 per share].</p>
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Transaction Fees and Credits For Securities Traded Pursuant to Unlisted Trading Privileges (Tapes B and C) on the Pillar Trading Platform

Fees and Credits applicable to Market Participants

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<p>SLP Provide Tiers For Securities at or above \$1.00</p>				
<p>Per-Tape Requirement (SLP Provide % Tape CADV) unless otherwise indicated</p> <p>SLP Display Provide Rate</p>	<p>Non Tier</p> <p>Not Applicable</p> <p>(\$0.0026) per share per tape in assigned securities where the SLP meets the 10% average quoting</p>	<p>Tier 2</p> <p>0.03% per tape and quotes, on an average daily basis, calculated monthly, in excess of the 10% average quoting requirement in 200 or more assigned securities in Tapes B and C combined pursuant to Rule 107B</p> <p>(\$0.0029) per share per tape in assigned securities where the SLP meets the 10% average quoting</p>	<p>Tier 1</p> <p>0.10% <u>for Tape B</u> and 0.075% <u>for Tape C</u>, [per tape] and quotes, on an average daily basis, calculated monthly, in excess of the 10% average quoting requirement in 4[5]00 or more assigned securities in Tapes B and C combined pursuant to Rule 107B</p> <p>(\$0.0032) per share per tape in assigned securities where the SLP meets the 10% average quoting requirement</p>	<p>Tape A Tier</p> <p>SLP Tier 1 in both Tape B and C or quotes, on an average daily basis, calculated monthly, in excess of the 10% average quoting requirement in 300 or more assigned securities separately in Tapes B and Tape C pursuant to Rule 107B</p> <p>(\$0.00005) per share in addition to the Tape A SLP credit in Tape A assigned securities where the SLP meets</p>

<p>SLP Non-Display Provide Rate</p>	<p>requirement pursuant to Rule 107B</p> <p>(\$0.0008) per share per tape in assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</p>	<p>requirement pursuant to Rule 107B</p> <p>(\$0.0011) per share per tape in assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</p>	<p>pursuant to Rule 107B</p> <p>(\$0.0014) per share per tape, (\$0.0025 if MPL order) in assigned securities where the SLP meets the 10% average quoting requirement pursuant to Rule 107B</p>	<p>the 10% average quoting requirement pursuant to Rule 107B</p> <p>Not Applicable</p>
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* No changes are proposed to the text of footnotes *, 1, +, and 4 of the current Price List.