

Additions underscored
Deletions [bracketed]

**New York Stock Exchange
Price List 2018**

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Last Updated: [October 1]October 4, 2018

Transaction Fees*

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

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* For purposes of determining transaction fees and credits based on quoting and/or liquidity levels, average daily volume (“ADV”), and consolidated ADV (“CADV”), the Exchange may exclude shares traded any day that (1) the Exchange is not open for the entire trading day and/or (2) a disruption affects an Exchange system that lasts for more than 60 minutes during regular trading hours. Unless otherwise specified, references to volumes, quoting, ADV and CADV herein refer to Tape A securities.

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Executions at the Close Equity Per Share Charge – per transaction (both sides) –

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<p>Tier 2 Adding Credit – Equity per Share Credit – per transaction – for all orders, other than MPL and Non-Display Reserve orders that add liquidity to the NYSE if the Member Organization (i) has Adding ADV that is at least 0.75% of NYSE CADV, and (ii) executes MOC and LOC orders of at least 0.10% of NYSE CADV or executes an ADV during the billing month of at least one million shares in Retail Price Improvements Orders.</p> <p>Member organizations that meet the above requirements and add liquidity, excluding liquidity added as an Supplemental Liquidity Provider, in securities traded pursuant to Unlisted Trading Privileges (Tapes B and C) on the Pillar Trading Platform of at least 0.20% of Tape B and Tape C CADV combined will receive an additional \$0.00005 per share.</p>	<p>\$0.0020</p>
<p>Tier 3 Adding Credit – Equity per Share Credit – per transaction – for all orders, other than MPL and Non-Display Reserve orders that add liquidity to the NYSE if the Member Organization <u>either (A)(i) has an Adding ADV that is at least 0.40% of NYSE CADV, and (ii) executes MOC and LOC orders of at least 0.05% of NYSE CADV, or (B)(i) has an Adding ADV that is at least 0.35% of NYSE CADV, (ii) executes MOC and LOC orders of at least 0.05% of NYSE CADV, and (iii) has an Adding ADV in MPL orders of at least 400,000 shares.</u></p> <p>Member organizations that meet the above requirements and add liquidity, excluding liquidity added as an Supplemental Liquidity Provider, in securities traded pursuant to Unlisted Trading Privileges (Tapes B and C) on the Pillar Trading Platform of at least 0.20% of Tape B and Tape C CADV combined will receive an additional \$0.0001 per share.</p>	<p>\$0.0018</p>

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<p>Equity per Share Charge³ for all other non-Floor broker transactions (i.e., when taking liquidity from the NYSE) unless the charges set forth immediately below applies - per transaction</p>	<p>\$0.00275</p>
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<u>Equity per Share Charge³ for all other non-Floor broker transactions (i.e., when taking liquidity from the NYSE) – if the Member Organization has Adding ADV, excluding any liquidity added by a DMM, that is at least 250,000 ADV⁴ on the NYSE in Tape A Securities and less than 500,000 ADV on the NYSE in Tape B and Tape C securities combined during the billing month</u>	<u>\$0.00280</u>
Equity per Share Charge ³ for all other non-Floor broker transactions (i.e., when taking liquidity from the NYSE) – if the Member Organization has Adding ADV, excluding any liquidity added by a DMM, that is less than 250,000 ADV ⁴ on the NYSE during the billing month	\$0.0030

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Trading Licenses

Bond Trading License	\$1,000.00/ year, waived for 2018
Annual Fee: All member organizations, <u>including Floor brokers</u> with 10 or more trading licenses (<u>excluding Regulated Only Members</u>)	\$50,000 for the first trading license held by a member organization unless a below rate is deemed applicable ¹⁵

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* No changes are proposed to the text of footnotes 1, +, 3 and 4 of the current Price List.