

Additions underscored.
Deletions are [bracketed].

Rules of the New York Stock Exchange LLC

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Rule 2. “Member,” “Membership,” “Member Firm,” etc.

(a) (No change).

(b)

(i) The term “member organization” means a registered broker or dealer (unless exempt pursuant to the Securities Exchange Act of 1934) (the “Act”), including sole proprietors, partnerships, limited liability partnerships, corporations, and limited liability corporations, approved by the Exchange pursuant to Rule 311 [that is a member of the Financial Industry Regulatory Authority, Inc. (“FINRA”) or another registered securities exchange. Member organizations that transact business with public customers or conduct business on the Floor of the Exchange shall at all times be members of FINRA]. A registered broker or dealer must also be approved by the Exchange and authorized to designate an associated natural person to effect transactions on the floor of the Exchange or any facility thereof. [This term shall include a natural person so registered, approved and licensed who directly effects transactions on the floor of the Exchange or any facility thereof.]

(ii) The term “member organization” also includes any registered broker or dealer [that is a member of FINRA or a registered securities exchange, consistent with the requirements of section 2(b)(i) of this Rule,]which does not own a trading license and agrees to be regulated by the Exchange as a member organization and which the Exchange has agreed to regulate.

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