

Additions underscored
Deletions [bracketed]

**New York Stock Exchange
Price List 2018**

* * * * *

Last Updated: April [17]20, 2018

Transaction Fees*

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

* * * * *

Executions at the Close Equity Per Share Charge – per transaction (both sides) –

* * * * *

Executions at the Close:

For d-Quote, Floor broker executions swept into the close, excluding verbal interest, and executions at the close, excluding MOC Orders, LOC Orders and CO Orders.

The first 750,000 ADV⁴ of the aggregate of executions at the close by a member organization.....No Charge⁹

After the first 750,000 ADV of the aggregate of executions at the close by a member organization

- d-Quotes last modified¹⁰ by the member organization earlier than 25 minutes before the scheduled close of trading.....\$0.0003
- d-Quotes last modified¹⁰ from 25

minutes up to but not including 3 minutes before the scheduled close of trading
..\$.0007

- d-Quotes last modified¹⁰ in the last 3 minutes before the scheduled close of trading...\$.0008 (Firms in MOC/LOC Tiers 1 and 2).....\$.0010 (All other firms)
- Orders from continuous trading swept into the close.....\$.0007

* * * * *

¹⁰ As used herein, “last modified” means the later of the order’s entry time or the final modification or cancellation time for any d-Quote order designated for the close with the same broker badge, entering firm mnemonic, symbol, and side.

* * * * *

* No changes are proposed to the text of current footnotes *, 1, 4 and 9 of the current Price List.