

Additions underscored
 Deletions [bracketed]

**New York Stock Exchange
 Price List 2018**

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Last Updated: [January 8]February 1, 2018

Transaction Fees*

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

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Executions at the Close Equity Per Share Charge – per transaction (both sides) –

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<p>MOC/LOC Tier 2: For all MOC and LOC orders from any member organization in the prior three billing months executing an ADV⁴ of MOC activity on the NYSE of at least 0.35% of NYSE (Tape A) CADV, ADV⁴ of the member organization’s total close activity (MOC/LOC and other executions at the close) on the NYSE of at least 0.525% of NYSE (Tape A) CADV, and whose MOC activity comprised at least 35% of the member organization’s total close activity (MOC/LOC and other executions at the close).</p>	<p>MOC Orders: \$0.0005 LOC Orders: \$0.0008</p>
<p><u>MOC/LOC Tier 3:</u> For all MOC and LOC orders from <u>any member organization in the current billing month executing an ADV⁴ of MOC activity on the</u></p>	<p><u>MOC Orders: \$0.0008</u> <u>LOC Orders: \$0.0009</u></p>

<p><u>NYSE of at least 0.25% of NYSE (Tape A) CADV, ADV⁴ of the member organization's total close activity (MOC/LOC and other executions at the close) on the NYSE of at least 0.35% of NYSE (Tape A) CADV, and whose MOC activity comprised at least 35% of the member organization's total close activity (MOC/LOC and other executions at the close).</u></p>	
<p>Non-Tier MOC/LOC: For all MOC and LOC orders from any member organization in the prior three billing months that do not meet the Tier 1, [or]Tier 2, or Tier 3 requirements above, the following rates shall apply.</p>	<p>MOC Orders: \$0.0010 MOC Orders Executed by a Floor broker: \$0.0005, unless lower tiered fee applies LOC Orders: \$0.0011</p>

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* No changes are proposed to the text of current footnotes *, 1 and 4 of the current Price List.