EXHIBIT 5

Additions <u>underscored</u> Deletions [bracketed]

Rules of the New York Stock Exchange LLC

Rule 130. Overnight Comparison of Exchange Transactions

- (a) [Notwithstanding any other rule to the contrary] <u>Unless otherwise specified by rule</u>, each transaction effected on the Exchange shall be <u>processed anonymously and</u> compared or otherwise closed out by the close of business on the Exchange on the business day following the day of the contract. <u>All reports associated with such transactions will indicate the details of such transactions and shall not reveal contra-party identities.</u>
- (b) The Exchange will reveal the contra-party identities in the following circumstances:
 - (1) for regulatory purposes or to comply with an order of a court or arbitrator;
 (2) when a Qualified Clearing Agency ceases to act for a Member Organization or the Member Organization's clearing firm, and determines not to guarantee the settlement of the Member Organization's trades; or
 (3) if both parties to the transaction consent.
- [(b)](c) The provisions of paragraph (a) above shall apply regardless of whether the transaction has been submitted to a "Qualified Clearing Agency" for comparison or settlement, but such provisions shall apply only to contracts for "regular way", "next day" and "seller's option" settlement, as prescribed in Rule 14, in stocks, rights, warrants, "when issued" and "when distributed" securities. The provisions of paragraph (a) shall apply to contracts in listed bonds on and after June 1, 1993.
- [(c)] (d) To facilitate next day comparison of transactions effected on the Exchange as provided for in paragraph (a) above, by such time following any such transaction as the Exchange may prescribe, each member or member organization which is a party to the contract shall submit, or cause to be submitted, such trade data as may be required by the Exchange or the Qualified Clearing Agency it selects, in such form as the Exchange or the Qualified Clearing Agency shall prescribe, to:
- (i) the Qualified Clearing Agency it selects; or
- (ii) such facility as the Exchange may develop and implement to facilitate comparison of transactions effected on the Exchange; and,
- (iii) in the case where a Qualified Clearing Agency will not be used to compare or settle the transaction, to the party or parties on the other side of the trade.

[(d)] (e) Members and member organizations shall comply with such other rules and procedures as may be adopted by the Exchange or the Qualified Clearing Agency they select, for the comparison or settlement of transactions, for the resolution of uncompared or questioned trades, and for the collection and submission of audit trail data.
