

**EXHIBIT 5**

Additions underscored  
Deletions [bracketed]

New York Stock Exchange  
Price List 2014

Last Updated: [September 21, 2014] November 4, 2014

**Transaction Fees**

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Transactions in stocks with a per share stock price of \$1.00 or more

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At the opening or at the opening only orders – Equity per share  
Charge<sup>2</sup> – per transaction (credited to both sides) ..... \$0.0010

Executions at the close (except market at-the-close (“MOC”) and limit at-the-close (“LOC”) orders) and Floor Broker executions swept into the close unless the charge set forth immediately below applies..... No Charge

Equity per Share Charge<sup>3</sup> - per transaction (charged to both sides) – if a member organization executes an [average daily trading volume (“ADV”)]<sup>4</sup> on the NYSE during the billing month of at least 1,000,000 shares in (i) executions at the close (except MOC and LOC orders), and/or (ii) Floor broker executions swept into the close ..... \$0.0003

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Tier 1 Adding Credit – Equity per Share Credit – per transaction – when adding liquidity to the NYSE if the Member Organization (i) has [ADV<sup>4</sup> that adds liquidity to the NYSE during the billing month (“Adding ADV[,]”)] which shall exclude any liquidity added by a Designated Market Maker that is at least 1.10% of NYSE CADV, and (ii) executes MOC and LOC orders of at least 0.12% of NYSE CADV ..... \$0.0022; or \$0.0010 if a Non-Displayed Reserve Order, \$0.0020 if an MPL Order

<sup>2</sup> \$20,000 cap per month per member organization provided that the member organization executes an average daily trading volume (“ADV”) that adds liquidity to the NYSE during the billing month (“Adding ADV”), excluding liquidity added by a Designated Market Maker, of at least five million shares.

\* No changes are proposed to footnotes 3 and 4 of the price list