

Additions underscored  
Deletions [bracketed]

New York Stock Exchange  
Price List 2012

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**Last Updated: [March] July 1, 2012**

**Transaction Fees**

Regular Session Trading<sup>1</sup>

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**Credit Applicable to Supplemental Liquidity Providers**

Credit per Share - per transaction - for Supplemental Liquidity Providers ("SLPs") - when adding liquidity to the NYSE in securities with a per share price of \$1.00 or more, if the SLP does not qualify for the higher credit set forth below

\$0.0015; or \$0.0010 if a Non-Displayed Reserve Order

Credit per Share - per transaction - for SLPs - when adding liquidity to the NYSE in securities with a per share price of \$1.00 or more, if the SLP (i) meets the 10% average or more quoting requirement in an assigned security pursuant to Rule 107B (quotes of an SLP-Prop and an SLMM of the same member organization shall not be aggregated) and (ii) adds liquidity of an ADV<sup>4</sup> of more than 10 million shares for all assigned SLP securities in the aggregate (including shares of both an SLP-Prop and an SLMM of the same member organization) and, for each assigned SLP security, adds liquidity of not more than 1.0% of the consolidated ADV for that assigned SLP security in the applicable month (shares of an SLP-Prop and an SLMM of the same member organization shall not be aggregated)<sup>8</sup> .....

\$0.0020; or \$0.0015 if a Non-Displayed Reserve Order

<sup>1</sup> Does not apply to transactions by members acting as a Designated Market Maker for own account.

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<sup>4</sup> For purposes of transaction fees and Supplemental Liquidity Provider liquidity credits, ADV calculations exclude early closing days.

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<sup>8</sup> In its first calendar month as an SLP, an SLP qualifies for this credit regardless of whether it meets the requirement to provide liquidity with an ADV of more than 10 million shares.

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Credit per Share - per transaction - for SLPs - when adding liquidity to the NYSE in securities with a per share price of \$1.00 or more, if the SLP (i) meets the 10% average or more quoting requirement in an assigned security pursuant to Rule 107B (quotes of an SLP-Prop and an SLMM of the same member organization shall not be aggregated) and (ii) adds liquidity of an ADV<sup>4</sup> of more than 10 million shares for all assigned SLP securities in the aggregate (including shares of both an SLP-Prop and an SLMM of the same member organization) and, for each assigned SLP security, adds liquidity of more than 1.0% but not more than 2.5% of the consolidated ADV for that assigned SLP security in the applicable month (shares of an SLP-Prop and an SLMM of the same member organization shall not be aggregated)<sup>8</sup> ..... \$0.0021; or \$0.0016 if a Non-Displayed Reserve Order

Credit per Share - per transaction - for SLPs - when adding liquidity to the NYSE in securities with a per share price of \$1.00 or more, if the SLP (i) meets the 10% average or more quoting requirement in an assigned security pursuant to Rule 107B (quotes of an SLP-Prop and an SLMM of the same member organization shall not be aggregated) and (ii) adds liquidity of an ADV<sup>4</sup> of more than 10 million shares for all assigned SLP securities in the aggregate (including shares of both an SLP-Prop and an SLMM of the same member organization) and, for each assigned SLP security, adds liquidity of more than 2.5% of the consolidated ADV for that assigned SLP security in the applicable month (shares of an SLP-Prop and an SLMM of the same member organization shall not be aggregated)<sup>8</sup> ..... \$0.0024; or \$0.0019 if a Non-Displayed Reserve Order

Credit per Share for SLPs for executions of securities with a per share price of \$1.00 or more at the close ..... None

Credit per Share – per transaction – for SLPs – when adding liquidity to the NYSE in securities with a per share price of less than \$1.00, if the SLP (i) meets the 10% average or more quoting requirement in an assigned security pursuant to Rule 107B (quotes of an SLP-Prop and an SLMM of the same member organization shall not be aggregated) and (ii) adds liquidity of an ADV of more than 10 million shares for all assigned SLP securities in the aggregate (including shares of both an SLP-Prop and an SLMM of the same member organization) in the applicable month ..... \$0.0005

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## Crossing Sessions I and II

Crossing Session I – No charge

Crossing Session II – Equity per Share (both sides) ..... \$0.0002 (\$100,000 cap per month per member organization)

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## Equipment Fees

Schedule of Annual Charges, unless otherwise noted

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[Off-Hours Trading]

[No charge during Crossing Session I, II, III, and IV for per share processing on agency and principal volume<sup>14</sup>]

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<sup>14</sup> Reserved [No charge currently applied to off-hours trading].

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