## **EXHIBIT 5**

Deleted text is [bracketed]. New text is <u>underlined</u>.

The Nasdaq Stock Market Rules

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## 4120. Limit Up-Limit Down Plan and Trading Halts

- (a) (b) No change.
- (c) Procedure for Initiating and Terminating a Trading Halt
  - (1) (8) No change.
  - (9) For purposes of this Rule and Rule 4753, the process for halting and initial pricing of a security that is the subject of an initial public offering shall also be available for the initial pricing of any other security that has not been listed on a national securities exchange[ or traded in the over-the-counter market pursuant to FINRA Form 211] immediately prior to the initial pricing, provided that a broker-dealer serving in the role of financial advisor to the issuer of the securities being listed is willing to perform the functions under Rule 4120(c)(8) that are performed by an underwriter with respect to an initial public offering.
  - (10) No change.

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## 4753. Nasdaq Halt Cross

(a) Definitions.

For the purposes of this rule the term:

- (1) (2) No change.
- (3) "Order Imbalance Indicator" shall mean a message disseminated by electronic means containing information about Eligible Interest and the price at which such interest would execute at the time of dissemination. The Order Imbalance Indicator shall disseminate the following information:
  - (A) "Current Reference Price" shall mean:
    - (i) (iii) No change.
    - (iv) If more than one price exists under subparagraph (iii), the Current Reference Price shall mean:

- a. b. No change.
- c. In the case of another halt type in which the security has already traded during normal market hours on that trading day, the price that is closest to the last Nasdaq execution prior to the trading halt; [and]
- d. In the case of another halt type in which the security has not already traded during normal market hours on that trading day, the price that is closest to the previous Nasdaq Official Closing Price[.]; and
- e. In the case of the initial pricing of a security that traded in the over-the-counter market pursuant to FINRA Form 211 immediately prior to the initial pricing, the most recent transaction price in that market.

Notwithstanding the foregoing, the Order Imbalance Indicator will not include the Current Reference Price if there is a Market Order Imbalance.

- (B) (F) No change.
- (4) (6) No change.
- (b) Processing of Nasdaq Halt Cross. For Nasdaq-listed securities that are the subject of a trading halt or pause initiated pursuant to Rule 4120(a)(1), (4), (5), (6), (7) or (11), the Nasdaq Halt Cross shall occur at the time specified by Nasdaq pursuant to Rule 4120, and Market hours trading shall commence when the Nasdaq Halt Cross concludes.
  - (1) No change.
  - (2)
- (A) (C) No change.
- **(D)** If more than one price exists under subparagraph (C), the Nasdaq Halt Cross shall occur at:
  - (i) (ii) No change.
  - (iii) In the case of another halt type in which the security has already traded during normal market hours on that trading day, the price that is closest to the last Nasdaq execution prior to the trading halt; [and]
  - (iv) In the case of another halt type in which the security has not already traded during normal market hours on that trading day, the price that is closest to the previous Nasdaq Official Closing Price[.]; and
  - (v) In the case of the initial pricing of a security that traded in the over-the-counter market pursuant to FINRA Form 211

immediately prior to the initial pricing, the most recent transaction price in that market.

- (3) (4) No change.
- **(c) (d)** No change.

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