

Exhibit 2b

**Alphabetical List of Written Comments
Regulatory Notice 12-09**

1. Ryan K. Bakhtiari, Public Investors Arbitration Bar Association (April 12, 2012)
2. Chris Charles, Wulff, Hansen & Co. (April 5, 2012)
3. Ira D. Hammerman, SIFMA (April 2, 2012)
4. Amy Natterson Kroll, Bingham McCutchen LLP (April 10, 2012)
5. Michael Nicholas, Bond Dealers of America (April 2, 2012)
6. Lee A. Pickard and William D. Edick, Pickard and Djinis LLP (April 2, 2012)
7. Joseph R.V. Romano, Romano Brothers & Co. (March 31, 2012)

**Alphabetical List of Written Comments
Regulatory Notice 12-42**

1. Michael Nicholas, Bond Dealers of America (December 20, 2012)
2. Lee A. Pickard and William D. Edick, Pickard and Djinis LLP (December 20, 2012)
3. Kurt N. Schacht and Linda L. Rittenhouse, CFA Institute (December 7, 2012)
4. Robert J. Stracks, BMO Capital Markets (December 20, 2012)
5. Kevin A. Zambrowicz, SIFMA (January 4, 2013)