

## EXHIBIT 5

(additions are underlined; deletions are [bracketed])

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## Rules of Cboe EDGX Exchange, Inc.

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## Rule 16.1. Definitions

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**Capacity**

The term “Capacity” means the capacity in which a User submits an order, which the User specifies by applying the corresponding code to the order, and includes:

| <u>Code</u> | <u>Capacity Type</u>   |
|-------------|--|
| <u>B</u>    | <u>For the account of a broker or dealer, including a foreign broker dealer</u>                  |
| <u>C</u>    | <u>For the account of a Priority Customer</u>  |
| <u>F</u>    | <u>For an OCC clearing member firm proprietary account</u>                                       |
| <u>J</u>    | <u>For a joint back office account</u>   |
| <u>M</u>    | <u>For the account of a registered Market Maker</u>  |
| <u>N</u>    | <u>For the account of a market maker on another options exchange (i.e. an Away Market Maker)</u> |
| <u>U</u>    | <u>For the account of a Professional</u>   |

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## Rule 20.7. Audit Trail

- (a) No change.
- (b) An Options Member must ensure that each options order received from a Customer for execution on EDGX Options is recorded and time-stamped immediately. The order record must be time-stamped again on execution and also at the time of any modification or cancellation of the order by the Customer. Order records relating to EDGX Options must contain the following information at a minimum:

(1)-(5) No change.

(6) [Member]User Capacity;

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### Cboe EDGX Options Exchange Fee Schedule

Effective [July]September [1]3, 2019

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#### Definitions:

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- “Away Market Maker” applies to any [transaction identified by a Member for clearing in the Market Maker range at the OCC, where such Member is not registered with the Exchange as a Market Maker, but is registered as]order for the account of a market maker on another options exchange.
- \* \* \* \* \*
- “Broker Dealer” applies to any order for the account of a broker dealer, including a foreign broker dealer[, that clears in the Customer range at the Options Clearing Corporation (“OCC”)].
  - “Customer” applies to any [transaction identified by a Member for clearing in the ]order for the account of a Priority Customer [range at the OCC, excluding any transaction for a Broker Dealer or a “Professional” as defined in Exchange Rule 16.1].
  - “Firm” applies to any [transaction identified by]order for the proprietary account of an OCC clearing [M]member[ for clearing in the Firm range at the OCC, excluding any Joint Back Office transaction].
  - “Joint Back Office” applies to any [transaction identified by a Member for clearing in the Firm range at the OCC that is identified with an origin code as Joint Back Office. A Joint Back Office participant is a Member]order for a joint back office account [that maintains a Joint Back Office arrangement with a clearing broker-dealer].
  - “Market Maker” applies to any [transaction identified by a Member for clearing in the Market Maker range at the OCC, where such Member is]order for the account of a registered [with the Exchange as a] Market Maker [as defined in Rule 16.1(a)(37)].
- \* \* \* \* \*
- “Professional” applies to any [transaction identified by]order for the account of a [Member as such pursuant to Exchange Rule 16.1]Professional.

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