

Changes are indicated by underlining additions and [bracketing] deletions.

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Table with columns: Rate Table - All Products Excluding Underlying Symbol List A (34), Capacity, Products, Capacity Code, Transaction Fee Per Contract (Manual, Electronic), AIM Agency/Primary (19), AIM Contra (18), AIM Response (20).

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Table with columns: Rate Table - Underlying Symbol List A (34) (37)(42), Capacity, Products, Capacity Code, Transaction Fee Per Contract by Premium Price, VIX and SPX (incl SPXW) Only (12)(37)(42).

Table with columns: GTH1 SPX/SPXW LMM Incentive Program, Capacity, Capacity Code, Premium Level, Expiring, Near Term, Mid Term, Long Term.

For SPX and SPXW if the appointed LMM provides continuous electronic quotes during Global Trading Hours from 7:15PM CST to 2:00AM CST ("GTH1") that meet or exceed the above heightened quoting standards in at least 85% of [each of] the SPX and SPXW series 90% of the time in a given month, the LMM will receive a rebate for that month in the amount of \$40,000/15,000 for SPX and \$35,000 for SPXW [or pro-rated amount if an appointment begins after the first trading day of the month or ends prior to the last trading day of the month] for that month.

GTH2 SPX/SPXW LMM Incentive Program		VIX Value at Prior Close < 20										
Capacity	Capacity Code	Premium Level	Expiring 7 days or less		Near Term 8 days to 60 days		Mid Term 61 days to 270 days		Long Term 271 to 500 days			
			Width	Size	Width	Size	Width	Size	Width	Size		
			LMM	M	\$0.00 - \$5.00	\$0.35	25	\$0.40	15	\$0.60	5	\$1.20
\$5.01 - \$15.00	\$0.80	20			\$0.60	20	\$1.50	10	\$2.00	5		
\$15.01 - \$50.00	\$1.80	15			\$2.00	15	\$2.00	10	\$4.00	5		
\$50.01 - \$100.00	\$7.50	10			\$4.00	10	\$3.00	10	\$5.00	5		
\$100.01 - \$200.00	\$15.00	1			\$5.00	5	\$4.00	5	\$6.00	5		
Greater than \$200.00	\$20.00	1			\$8.00	1	\$12.00	1	\$50.00	1		
VIX Value at Prior Close from 20 - 30												
\$0.00 - \$5.00	\$0.60	15			\$0.80	10	\$0.75	5	\$2.00	5		
\$5.01 - \$15.00	\$1.00	15			\$1.00	15	\$2.20	5	\$3.00	5		
\$15.01 - \$50.00	\$2.50	10			\$3.50	10	\$3.00	5	\$5.00	5		
\$50.01 - \$100.00	\$10.00	10			\$7.00	10	\$3.50	5	\$7.00	5		
\$100.01 - \$200.00	\$18.00	1			\$8.00	5	\$6.00	5	\$10.00	5		
Greater than \$200.00	\$25.00	1			\$12.00	1	\$20.00	1	\$60.00	1		
VIX Value at Prior Close > 30												
\$0.00 - \$5.00	\$0.90	10			\$1.00	10	\$1.00	5	\$3.00	5		
\$5.01 - \$15.00	\$2.50	10			\$2.50	10	\$3.00	5	\$4.00	5		
\$15.01 - \$50.00	\$4.00	10			\$5.00	10	\$5.00	5	\$8.00	5		
\$50.01 - \$100.00	\$12.00	5			\$10.00	5	\$4.50	3	\$10.00	1		
\$100.01 - \$200.00	\$20.00	1			\$12.00	5	\$15.00	1	\$18.00	1		
Greater than \$200.00	\$30.00	1			\$25.00	1	\$30.00	1	\$70.00	1		

For SPX and SPXW if the appointed LMM provides continuous electronic quotes during Global Trading Hours from 2:00AM CST to 8:15AM CST ("GTH2") that meet or exceed the above heightened quoting standards in at least 85% of [each of] the SPX and SPXW series 90% of the time in a given month, the LMM will receive a rebate for that month in the amount of \$40,000 [15,000 for SPX and \$35,000 for SPXW] (or pro-rated amount if an appointment begins after the first trading day of the month or ends prior to the last trading day of the month) for that month. The Exchange may consider other exceptions to this quoting standard based on demonstrated legal or regulatory requirements or other mitigating circumstances. In calculating whether an LMM met the heightened quoting standard each month, the Exchange will exclude from the calculation in that month the business day in which the LMM missed meeting or exceeding the heightened quoting standard in the highest number of series.

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Footnotes:	
Footnote Number	Description
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[RESERVED]40	The Floor Broker Solicitation Surcharge Fee applies to solicited SPX and SPXW orders where one side is a Customer and both sides are crossed in open outcry by the same Floor Broker (i.e., the executing Floor Broker acronym is the same on both the buy and sell side of the order). The surcharge fee will be assessed to the EFID of the buy (sell) side contra to the Customer sell (buy) side of the order. The proposed surcharge fee will not apply to customer-to-customer orders, facilitation orders, solicited orders executed as part of a box or jelly roll strategy or as a FLEX transaction. "Facilitation orders" for this purpose are defined as any order in which a Clearing Trading Permit Holder ("F" capacity code) or Non-Trading Permit Holder Affiliate ("L" capacity code) is contra to any other origin code, provided the same executing broker and clearing firm are on both sides of the transaction for open outcry following any post-trade changes made on the trade date.
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[49]	[Transaction fees will be waived for Market-Maker orders executed in XSP options through December 31, 2022.]