

Rate Table - All Products Excluding Underlying Symbol List A (34)			Transaction Fee Per Contract								
Capacity	Products	Capacity Code	Manual		Electronic		AIM Agency/Primary (19)	AIM Contra (18)	AIM Response (20)		
			Penny Classes	Non-Penny Classes	Penny Classes	Non-Penny Classes			Penny Classes	Non-Penny Classes	
Customer (2)(8)(9)	Equity Options	C			{CK} \$0.00		{CK} \$0.00				
	ETF and ETN Options		{CK} \$0.00		{CE} \$0.00 if adding liquidity {CA} \$0.18 if original order size is ≥100 contracts and removing liquidity {CD} \$0.00 if original order size is <100 contracts and removing liquidity						
	MRUT				{CQ} \$0.02						
	XSP				{CC} \$0.04 ≥10 contracts / {XC} \$0.00 <10 contracts						
	MXEA				{CM} \$0.25						
	MXEF				{CN} \$0.25						
	All Other Index Products				{CB} \$0.18						
Sector Indexes (47)					{CP} \$0.30		{YB} \$0.07				
MRUT			{FM} \$0.02								
XSP			{XF} \$0.06								
Equity, ETF, and ETN Options and All Other Index Products											
Clearing Trading Permit Holder Proprietary (11)(16)	Sector Indexes (47)	F L	{FA} \$0.20 - See Clearing Trading Permit Holder Fee Cap		{FB} \$0.43	{FC} \$0.70	{FD} \$0.20 - See Clearing Trading Permit Holder Fee Cap		{YB} \$0.07	{NB} \$0.50	{NC} \$1.05
	Facilitation (11)				{FI} \$0.25						
	MRUT		{FF} \$0.00				{FI} \$0.25				
	XSP						{MM} \$0.03				
Cboe Options Market-Maker/DPM/LMM (10)	Equity, ETF, and ETN Options, Sector Indexes (47) and All Other Index Products	M	{MB} \$0.35		{MA} \$0.23 - See Liquidity Provider Sliding Scale and Liquidity Provider Sliding Scale Adjustment Table				{YB} \$0.07		
	MRUT						{BM} \$0.04				
	XSP						{XB} \$0.08				
Broker-Dealer (16)	Equity, ETF, and ETN Options and All Other Index Products	B N U J	{BA} \$0.25		{BB} \$0.47	{BC} \$0.75	{BD} \$0.20	{YB} \$0.07	{NB} \$0.50	{NC} \$1.05	
	Professional /Joint Back-Office		{WA} \$0.12 "U" Capacity Code Only								
	Sector Indexes (47)										
Complex Surcharge (35)	Equity, ETF, and ETN Options and All Other Index Products	F J L M B N U			\$0.12						
Surcharge Fee (14) Index License	MXEA and MXEF				\$0.12						
	DJX				0.1[0]2						
	Sector Indexes				\$0.00 (47)						
FLEX Surcharge Fee (17) - DJX, MRUT, MXEA, MXEF, NDX, NDXP and XSP Only	MRUT				\$0.02						
FLEX Surcharge Fee (17) - DJX, MRUT, MXEA, MXEF, NDX, NDXP and XSP Only		C F J L M B N U			\$0.10 (capped at \$250 per trade)						
Exotic Surcharge		C			\$0.25 (\$0.03 for XSP and MRUT Only)						

Market-Maker Tier Appointment Fees (41)(12)			
Symbol	Criteria	Monthly Fees (per unit)	Notes
SPX	MM Floor Permit executes any contracts in SPX/SPXW (24)	\$3,000 per MM Floor Permit	The SPX Surcharge will not be assessed to a Market-Maker Floor Permit Holder who only executes SPX (including SPXW) options transactions as part of multi-class broad-based index spread transactions.
	Market-Maker EAP executes at least 1,000 contracts in SPX/SPXW	\$3,000 per TPH	The Market-Maker EAP SPX Tier Appointment fee will be assessed to any Market-Maker EAP that executes at least 1,000 contracts in SPX/SPXW, excluding contracts executed during opening rotation on the final settlement date of VIX options and futures which have the expiration that is used in the VIX settlement calculation.
VIX	MM Floor Permit executes at least 1,000 contracts in VIX	\$2,000 per MM Floor Permit	
	Market-Maker EAP executes at least 1,000 contracts in VIX	\$2,000 per TPH	
RUT	MM Floor Permit executes at least 1,000 contracts in RUT	\$1,000 per MM Floor Permit	
	Market-Maker EAP executes at least 1,000 contracts in RUT	\$1,000 per TPH	

Floor Broker Trading Surcharge (41)			
Symbol	Criteria	Monthly Fees (per unit)	Notes
SPX	FB Trading Permit Holder executes >20,000 contracts in SPX/SPXW	\$3,000 per TPH	If and to the extent that a Trading Permit Holder or TPH organization has more than one Floor Broker Trading Permit that is utilized to execute SPX options transactions, the SPX executions of that Trading Permit Holder or TPH organization shall be aggregated for purposes of determining this additional monthly fee and the Trading Permit Holder or TPH organization shall be charged a single \$3,000 fee for the combined SPX executions through those Floor Broker Trading permits if the executions exceed 20,000 contracts per month. The SPX Surcharge will not be assessed to a Floor Broker Trading Permit Holder who (i) only executes SPX (including SPXW) options transactions as part of multi-class broad-based index spread transactions, and (ii) submits the SPX Tier Appointment Fee Exclusion for Multi-Class Broad-Based Index Spread Transactions Form within three business days of execution of the applicable spread transaction(s).
VIX	FB Trading Permit Holder executes >20,000 contracts in VIX	\$2,000 per TPH	If and to the extent that a Trading Permit Holder or TPH organization has more than one Floor Broker Trading Permit that is utilized to execute VIX options transactions, the VIX executions of that Trading Permit Holder or TPH organization shall be aggregated for purposes of determining this additional monthly fee and the Trading Permit Holder or TPH organization shall be charged a single \$2,000 fee for the combined VIX executions through those Floor Broker Trading Permits if the executions exceed 20,000 contracts per month.

Footnotes:

Footnote Number	Description
1 - 4	No change.
5	Floor brokerage fees are charged to the executing broker. To be eligible for the discounted "crossed" rate, the executing broker acronym and [executing firm number]EFID must be the same on both the buy and sell side of an order.
6 - 50	No change.