
Rate Table - Underlying Symbol List A (34) (Also applies to GTH)(37)		Options Transaction Fees (1)(3)(4)(7)(13)(15)(33)(39)(12)						
Capacity	Products	Capacity Code	Transaction Fee Per Contract by Premium Price				VIX Only (12)	
			\$0.00 - \$0.10	\$0.11 - \$0.99	\$1.00 - \$1.99	\$2.00+	SPX (incl SPXW) in GTH Only	AIM Agency/Primary (19) AIM Contra (18)
Customer (2)	OEX and XEO	C	{CO} \$0.40					
	OEX Weeklys, XEO Weeklys (47)		{CP} \$0.30					
	RUT		{CR} \$0.18					
	RLG, RLV, RUI and UKXM		{WR} \$0.00					
	SPX (incl SPXW) and SPESG		{CS} \$0.36	{CT} \$0.45			See Rates to Left	
	VIX (simple orders)		{CV} \$0.10	{CW} \$0.25	{CX} \$0.40	{CY} \$0.45	See Rates to Left	
Clearing Trading Permit Holder Proprietary (11)(12)(16)	SPX (incl SPXW) and SPESG (41)(12) Underlying Symbol List A (34)	F L	{FH} \$0.26 - See Cboe Options Clearing Trading Permit Holder Proprietary Products Sliding Scales/ {WR} \$0.00 RLG, RLV, RUI, UKXM Only					
	VIX		{FK} \$0.25 - See Cboe Options Clearing Trading Permit Holder VIX Sliding Scale					
Cboe Options Market-Maker/ DPM/LMM (10)(42)	SPX (incl SPXW) and SPESG (41)(12)	M	{MS} \$0.28 - See SPX Liquidity Provider Sliding Scale/ {SC} \$0.00					
	RUT		{MT} \$0.30					
	OEX and XEO		{MR} \$0.20					
	RLG, RLV, RUI, UKXM		{WR} \$0.00					
Joint Back-Office (45) Non-Trading Permit Holder Market Maker (16)(45) Professional (45)	OEX, XEO and VIX	B N U J	{MV} \$0.05				{MW} \$0.23	
	SPX (incl SPXW) and SPESG		{BR} \$0.40				{BT} \$0.42	
Surcharge Fee (14) (Also applies to GTH)(37)	Index License (41)(12)	F J L M B N U	RUT				\$0.45	
			SPX (incl SPXW) (41)(12)				\$0.17/ {SC} \$0.00	
FLEX Surcharge Fee (17)	Underlying Symbol List A (34) (except RLG, RLV, RUI, and UKXM)	C F J L M B N U	OEX, XEO, and VIX				\$0.10 (\$0.00 for capacity codes F and L for VIX transactions where the VIX Premium is ≤ \$0.10 and the related series has an expiration of seven (7) calendar days or less.)	
			RLG, RLV, RUI, and UKXM				\$0.00	
			RLG, RLV, RUI, and UKXM				\$0.00	
Exotic Surcharge (42)		C					\$0.25	

Execution Surcharge (21)(12)(41) (Also applies to GTH)(37)	SPX (not incl SPXW) and SPESG (15)	C F J L B N U	\$0.21				
	SPXW (electronic only)		\$0.13				
Customer Priority Surcharge (31) (Also applies to GTH)(37)	VIX (Maker non-turner)	C	\$0.00				\$0.20
AIM Response Surcharge Fee (12)(41)	SPX (incl SPXW) and SPESG	C F J L M B N U	\$0.05				
AIM Contra Surcharge Fee (12)(41)	SPX (incl SPXW) and SPESG	C F J L M B N U	\$0.10				
AIM Agency/Primary Surcharge Fee (12)(41)	SPX (incl SPXW) and SPESG	C F J L M B N U	\$0.10				
	VIX	C F J L M B N U	\$0.04				
RFC Execution Surcharge Fee [(12)(41)(21)(25)]	SPX (incl SPXW)	C F J L M B N U	\$0.05				
	VIX	C F J L M B N U	\$0.04				

Footnotes:	
Footnote Number	Description
1 - 11	No change.
12	In the event the Cboe Options trading floor becomes inoperable and the Exchange operates in a screen-based only environment, the Exchange will apply the following pricing changes for the duration of time the Exchange operates in a screen-based only environment: (1) holders of a Market-Maker Floor Permit will be entitled to act as an electronic Market-Maker and holders of a Floor Broker Permit will be entitled to access the Exchange electronically to submit orders to the Exchange, provided that any Floor Broker TPH that did not have an Electronic Access Permit ("EAP") prior to the closure of the trading floor will be charged for one EAP per TPH organization and any floor Market-Maker that did not have a Market Maker EAP will be charged for one Market Maker EAP per TPH organization; (2) if the trading floor reopens mid-month, floor Trading Permit fees for that month will be prorated based on the remaining trading days in the calendar month; (3) the SPX and SPESG, and SPXW Execution Surcharges will be waived, where applicable, for SPX/SPXW and SPESG orders executed via AIM [and for SPX/SPXW Related Future Cross ("RFC") orders]; (4) the AIM Agency/Primary Surcharge for SPX/SPXW, SPESG and VIX [and RFC Execution Surcharge for SPX/SPXW and VIX] will apply to all SPX/SPXW, SPESG and VIX AIM Agency/Primary orders [and all SPX/SPXW and VIX RFC initiating orders, respectively,] when the Exchange operates in a screen-based only environment and such fee will be invoiced to the executing Trading Permit Holder; (5) SPX/SPXW, RUT, and VIX contracts executed via AIM, and contracts executed as a RFC order, during a time when the Exchange operates in a screen-based only environment will not count towards the 1,000 contract thresholds for the SPX/SPXW, VIX and RUT Tier Appointment Fees; (6) for purposes of Routing Fees, Cboe Options will not pass through or otherwise charge customer orders (of any size) routed to other exchanges that were originally transmitted to the Exchange from a registered Floor Broker through an Exchange-sponsored terminal; (7) all designated facility fees, including the Trading Floor Printer Maintenance Fee, will not be charged when the Exchange operates in a screen-based only environment, however such fees will be pro-rated based on the remaining trading days in the calendar month if the trading floor reopens mid-month; (8) the Inactive Nominee Status (Parking Space) and Inactive Nominee Status Change (Trading Permit Swap) fees will not apply when the Exchange operates in a screen-based only environment, provided that if the trading floor re-opens mid-month the Parking Space fee will be prorated based on the remaining trading days in the calendar month; and (9) the AIM Contra Surcharge and AIM Response Surcharge will apply to all SPX/SPXW and SPESG AIM Contra and AIM Response/Priority Response orders, respectively, when the Exchange operates in a screen-based only environment. Additionally, in the event the Exchange operates in a screen-based only environment, AIM may be available for SPX/SPXW and SPESG during Regular Trading Hours.
13 - 20	No change.
21	All electronic executions in SPX, SPXW and SPESG shall be assessed the SPX, SPXW and SPESG Execution Surcharge, respectively, except that this fee shall not apply to: (i) orders in SPX or SPXW options in the SPX electronic book for those SPX or SPXW options that are executed during opening rotation on the final settlement date of VIX options and futures which have the expiration that are used in the VIX settlement calculation, [and] (ii) orders executed in SPX, SPXW and SPESG by a floor broker using a PAR terminal <u>and (iii) SPX/SPXW Related Future Cross ("RFC") orders</u> . See also footnote 15.
22-24	No change.
[RESERVED]25	The RFC Execution Surcharge for SPX/SPXW and VIX will apply to all SPX/SPXW and VIX RFC initiating orders.
RESERVED - 50	No change.
