

Options Transaction Fees (1)(3)(4)(7)(15)(33)(39)

Rate Table - All Products Excluding Underlying Symbol List A (34)(13)			Transaction Fee Per Contract							
Capacity	Products	Capacity Code	Manual		Electronic		AIM Agency/Primary (19)	AIM Contra (18)	AIM Response (20)	
			Penny Classes	Non-Penny Classes	Penny Classes	Non-Penny Classes			Penny Classes	Non-Penny Classes
Customer (2)(8)(9)	Equity Options	C			{CK} \$0.00		{CK} \$0.00			
	ETF and ETN Options		{CK} \$0.00		{CE} \$0.00 if adding liquidity {CA} \$0.18 if original order size is ≥100 contracts and removing liquidity {CD} \$0.00 if original order size is <100 contracts and removing liquidity					
	XSP				{CC} \$0.04					
	MXEA				{CM} \$0.25					
	MXEF				{CN} \$0.25 (This fee is waived through December 31, 2019)					
	All Other Index Products				{CB} \$0.18					
	Sector Indexes (47)				{CP} \$0.30					
Clearing Trading Permit Holder Proprietary (11)(16)	Equity, ETF, and ETN Options and All Other Index Products	F L	{FA} \$0.20 - See Clearing Trading Permit Holder Fee Cap		{FB} \$0.43	{FC} \$0.70	{FD} \$0.20 - See Clearing Trading Permit Holder Fee Cap	{YB} \$0.07	{NB} \$0.50	{NC} \$1.05
	Sector Indexes (47)				{FI} \$0.25					
	Facilitation (11)				{FF} \$0.00		{FI} \$0.25			
	XSP									
Cboe Options Market-Maker/DPM/LMM (10)	Equity, ETF, and ETN Options, Sector Indexes (47) and All Other Index Products	M						{YB} \$0.07		
Broker-Dealer (16)	Equity, ETF, and ETN Options and All Other Index Products	B N U J	{BA} \$0.25		{BB} \$0.47	{BC} \$0.75	{BD} \$0.20	{YB} \$0.07	{NB} \$0.50	{NC} \$1.05
Non-Trading Permit Holder Market Maker (16)	Equity, ETF, and ETN Options and All Other Index Products		{WA} \$0.12 "U" Capacity Code Only							
	Sector Indexes (47)									
Complex Surcharge (35)	Equity, ETF, and ETN Options and All Other Index Products	F J L M B N U				\$0.12				
	Surcharge Fee (14) Index License - DJX, MXEA and MXEF and Sector Indexes						\$0.10 (This surcharge is waived, through December 31, 2019, for Sector Indexes (47))			
	FLEX Surcharge Fee (17) - DJX, MXEA, MXEF and XSP Only	C F J L M B N U					\$0.10 (capped at \$250 per trade)			
	Exotic Surcharge (42)	C					\$0.25 (\$0.03 for XSP Only)			

Break-Up Credits				
Capacity	Products	Capacity Code	Per Contract Credit	
			Penny Classes	Non-Penny Classes
Customer	All Underlying Symbols Excluding Underlying Symbol List A (34), Sector Indexes (47), DJX, MXEA, MXEF and XSP	C	\$0.25	\$0.60

Break Up Credits apply to orders executed in AIM, SAM, FLEX AIM, and FLEX SAM. The Exchange will apply a Break-Up Credit to Customer Agency orders only when the Agency Order trades with a noncustomer, non-Market-Maker AIM Response (20).

Footnote Number		Description
[RESERVED]20	The AIM Responder Fee applies to all Clearing Trading Permit Holder, non-Trading Permit Holder Affiliate, broker-dealer, non-Trading Permit Holder market-maker, JBO participant and professional orders in all products, except Sector Indexes (47) and Underlying Symbol List A (34), executed in AIM, SAM, FLEX AIM, and FLEX SAM auctions, that were initially entered as an AIM Response.	
35	Per contract per side surcharge for noncustomer complex order executions that remove liquidity from the COB and auction responses in the Complex Order Auction ("COA") and the Automated Improvement Mechanism ("AIM") in all classes except Sector Indexes (47) and Underlying Symbol List A. The surcharge will not be assessed, however, on noncustomer complex order executions originating from a Floor Broker PAR, electronic executions against single leg markets, [or] for stock-option order executions, or for noncustomer, non-Market-Maker AIM Responses (20). Auction responses in COA and AIM for noncustomer complex orders in Penny classes will be subject to a cap of \$0.50 per contract, which includes the applicable transaction fee, Complex Surcharge and Marketing Fee (if applicable).	
