

NYSE ARCA OPTIONS GIVE-UP CHANGE FORM

Clearing Member Rejecting the Trade (Name/OCC#):

By signing this form, the signatory represents that he/she is authorized by the Clearing Member to act on its behalf to reject the trade.

_____	_____
Name (print)	Title
_____	_____
Signature	Date
_____	_____
Phone	Email

TRADE INFORMATION

Executing (“give-up”) OCC Clearing Number: _____	
CMTA (if applicable): _____	Option Symbol: _____
Expiration Date (mm/dd/yy): _____	Buy/Sell: _____
Strike Price: _____	Call/Put _____
Volume: _____	Premium: _____
Trade Date: _____	Trade Time: _____
Executing Firm Info (Name and OTP Firm Acronym If Known): _____	
Reason for rejecting the trade: _____	

The new Clearing Member for the above trade will be (please check one):

- The executing OTP Holder’s Guarantor

Guarantor's Name and OCC#: _____

- Another Clearing Member
("Accepting Clearing
Member")*

Accepting Clearing Member's
Name and OCC#: _____

* The Accepting Clearing Member must complete and submit to NYSE Arca Options Trade Processing the "NYSE Arca Options Give-up Change Form for Accepting Clearing Member."

NYSE Amex Options Trade Processing (ONLY):

Name: _____ Time: _____ Give-up and trade
checked: