

EXHIBIT 2b

**Alphabetical List of Written Comments
Regulatory Notice 18-06**

1. Joseph Borg, North American Securities Administrators Association, Inc. (“NASAA”) (April 9, 2018)
2. Richard J. Carlesco, Jr., IBN Financial Services, Inc. (“IBN”) (August 31, 2018)
3. Kevin M. Carroll, Securities Industry and Financial Markets Association (“SIFMA”) (April 9, 2018)
4. Benjamin Dell’Orto, Esmat Hanano, Nicole G. Iannarone, and Alisa Radut, Georgia State University College of Law (“GSU”) (April 9, 2018)
5. Chester Hebert, Colorado Financial Service Corporation (“Colorado”) (April 4, 2018)
6. William A. Jacobson and Mercedes M. Taitt-Harmon, Cornell University Law School (“Cornell”) (April 9, 2018)
7. Kristopher J. Kalkowski, University of Nevada, Las Vegas, William S. Boyd School of Law (“UNLV”) (April 9, 2018).
8. Andrew Stoltmann, Public Investors Arbitration Bar Association (“PIABA”) (April 9, 2018)
9. Robin M. Traxler, Financial Services Institute (“FSI”) (April 9, 2018)