

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 883 / September 18, 2013

ADMINISTRATIVE PROCEEDING
File No. 3-15445

In the Matter of	:	
	:	ORDER
HAUSMANN-ALAIN BANET	:	
	:	

The Securities and Exchange Commission issued an Order Instituting Proceedings (OIP) on August 28, 2013, pursuant to Section 203(f) of the Investment Advisers Act of 1940. A hearing is currently scheduled for Monday, September 30, 2013.

On September 17, 2013, the Division of Enforcement (Division) filed a Response to Order Scheduling Hearing and Designating Presiding Judge, notifying this Office that Respondent, who is incarcerated, has not been served with the OIP because the Federal Bureau of Prisons has not yet identified the institution where Respondent will be serving his recently imposed sentence. The Division suggests that the hearing be postponed to allow time to perfect service.

To allow time for service of the OIP and for Respondent to answer, IT IS ORDERED that the September 30, 2013, hearing is POSTPONED sine die, and a telephonic prehearing conference shall be held on Monday, October 28, 2013, at 10:30 a.m. EDT. Respondent's Answer to the allegations in the OIP is due within twenty days of service of the OIP.¹ See OIP at 2; 17 C.F.R. §§ 201.160, .220(b).

Cameron Elliot
Administrative Law Judge

¹ If Respondent fails to file an Answer or participate in the telephonic prehearing conference, he may be deemed in default and the proceeding may be determined against him. See OIP at 2-3; 17 C.F.R. §§ 201.155(a), .220(f), .221(f).